

Edgar Filing: S2 GOLF INC - Form 5

S2 GOLF INC  
Form 5  
March 29, 2001

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FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

☐ CHECK THIS BOX IF NO LONGER  
SUBJECT TO SECTION 16. FORM 4 OR ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
FORM 5 OBLIGATIONS MAY CONTINUE.  
SEE INSTRUCTION 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)

☐ FORM 3 HOLDINGS REPORTED Holding Company Act of 1935 or Section 30(f) of the Investme  
☐ FORM 4 TRANSACTIONS REPORTED

1. Name and Address of Reporting Person(1) 2. Issuer Name and Ticker or Trading Symbol 6. Relat  
to Is

Buffington Douglas A.

S2 Golf Inc.

(Last) (First) (Middle)

3. I.R.S. Identification  
Number of Reporting  
Person, if an entity  
(Voluntary)

4. Statement for  
Month/Year  
12/00

Pre  
Chi

18 Gloria Lane

(Street)

5. If Amendment, 7. Individ  
Date of (Ch  
Original ☒ For  
(Month/Year) ☐ For  
Re

Fairfield NJ 07004

(City) (State) (Zip)

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPO

1. Title of Security  
(Instr. 3)

2. Trans-  
action  
Date  
(Month/  
Day/  
Year)

3. Trans-  
action  
Code  
(Instr. 8)

4. Securities Acquired(A) 5  
or Disposed of (D)  
(Instr. 3, 4 and 5)

Code V Amount (A) or  
(D) Price

Common Stock

(Over)

Cle309595v1

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FORM 5 (CONTINUED)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or<br>Exercise<br>Price of<br>Deri-<br>vative<br>Security | 3. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4. Trans-<br>action<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or Dis-<br>posed of<br>(D) (Instr.<br>3, 4, and 5) | 6. Date<br>able<br>ratio<br>(Mont<br>Day/Y |
|---|---|---|---|--|--|
|   |   |   | Code V (A) (D)                            |  | Date<br>Exer-<br>cisable                   |
| Call Options<br>(rights to buy)               | \$0.75 (1)  | 12/31/00  | A   | 20,000   | Immed.                                     |
| Call Options<br>(rights to buy)               | \$1.84375   |   |   |  | Immed.                                     |
| Call Options<br>(rights to buy)               | \$3.00 (1)  |   |   |  | Immed.                                     |
| Call Options<br>(rights to buy)               | \$0.9375  |   |   |  | Immed.                                     |
| Call Options<br>(rights to buy)               | \$0.9375 (1)  |   |   |  | Immed.                                     |

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| 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|---|--|--|
|  | 20,000  | D  |  |
|  | 14,375  | D  |  |
|  | 7,500   | D  |  |
|  | 16,000  | D  |  |
|  | 27,500  | D  |  |

## Explanation of Responses:

- (1) Upon certain changes of control, exercise price becomes \$0.01.
- (2) Expiration date = 12/31/10 or 3 months after employment terminates.
- (3) Expiration date = 3/9/10 or 3 months after employment terminates.
- (4) Expiration date = 1/3/09 or 3 months after employment terminates.

(1) Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Signature

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient see Instruction 6 for procedure.

