## Edgar Filing: CARNIVAL PLC - Form 4

CARNIVAL PLC

Form 4 October 15												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMMISSION	OMB APPROVAL			
	Washington, D.C. 20549							Number:	3235-0287			
if no lo subject Sectior Form 4 Form 5 obligat may co	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								January 31, 2005 Estimated average burden hours per response 0.5			
(Print or Type	e Responses)											
NICKEL (	Address of Reporting CONTINUED CABLE TRUST	S	ymbol		nd Ticker o			5. Relationship of Issuer				
(Last)	(First)	(Middle) 3	. Date	of Earliest	Transactio	n		(Check all applicable)				
HAMILTO	ON: DAVID LEY ON, 1201 NORTH STREET, 18TH	7 1 I	Month/ 0/13/	/Day/Year) 2009	)		·	below)	X 10% itleX Oth below) ee Remarks			
				If Amendment, Date Original iled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
WILMING	GTON, DE 19801						i	Form filed by M Person	ore than One Re	porting		
(City)	(State)	(Zip)	Tal	ble I - Nor	n-Derivativ	e Seci	urities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit or Dispos (Instr. 3, Amount	ed of	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Trust Shares (interest in special voting share) $(1)$	10/13/2009			S	42,491 (2)	D	\$ 32.5477 ( <u>3)</u>	718,873	D			
Trust Shares (interest in special voting	10/14/2009			S	42,491 (2)	D	\$ 33.3045 (4)	676,382	D			

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## Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day, ve s l	Date	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code 1	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
NICKEL CONTINUED IRREVOCABLE TRUST ATTENTION: DAVID LEY HAMILTON 1201 NORTH MARKET STREET, 18TH FLOOR WILMINGTON, DE 19801		Х		See Remarks
<b></b>				

## Signatures

/s/ John J. O'Neil, Vice President of JMD Delaware, Inc., as Trustee for Nickel Continued 10/15/2009 Irrevocable Trust

\*\*Signature of Reporting Person

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and

### share) (1)

Date

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are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

(2) These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated August 12, 2009.

This transaction was executed in multiple trades at prices ranging from \$32.3800 to \$32.7800. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC

(3) weighted average purchase prices on the dates indicated. The reporting person hereby indertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

This transaction was executed in multiple trades at prices ranging from \$33.0400 to \$33.5500. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC

(4) weighted average purchase prices on the dates indicated. The reporting person hereby indertakes to provide upon request to the SEC
staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

### **Remarks:**

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of CarnivalCorporation. However, the reporting person disclaims such group membership, and this report shall not be deemed at admission that the reporting person is amember of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for anyother purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.