CARNIVAL PLC Form 4

February 08, 2005

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

ARISON MICKY MEIR

1. Name and Address of Reporting Person \*

		CARN	IVAL PL	C [CUK]	]		(Che	ck all applical	nle)
(Last) 3655 N.W. 8	(First) (1887 AVENUE		of Earliest T Day/Year) 2005	ransaction			_X_ Director _X_ Officer (givel) below)	_X_ 1	0% Owner ther (specify
MIAMI, FL		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip) Tak	ole I - Non-l	Derivative	Secui	rities Acq	uired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securior(A) or D (Instr. 3,	(A)	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Shares							Ü	2	
Trust Shares (beneficial interest in special voting share) (2) (3)	02/04/2005		S	4,100 ( <u>4)</u>	D	\$ 55.97	854,393	I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial	02/04/2005		S	5,300 (4)	D	\$ 56.17	849,093	I (1)	By the Nickel 1997 Irrevocable

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interest in special voting share) (2) (3)								Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/04/2005	S	1,800 (4)	D	\$ 56.3	847,293	I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/04/2005	S	5,300 ( <u>4</u> )	D	\$ 56.32	841,993	I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/04/2005	S	5,000 (4)	D	\$ 56.35	836,993	I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/04/2005	S	3,500 (4)	D	\$ 56.36	833,493	I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/04/2005	S	5,000 (4)	D	\$ 56.4	828,493	I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/07/2005	S	2,000 (4)	D	\$ 56.66	826,493	I (1)	By the Nickel 1997 Irrevocable Trust
	02/07/2005	S		D		825,293	I (1)	

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Trust Shares (beneficial interest in special voting share) (2) (3)			1,200 (4)	\$ 56.69		By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/07/2005	S	2,400 (4) D	\$ 56.7 82	2,893 I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/07/2005	S	100 (4) D	\$ 56.72 82	2,793 I (1)	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/07/2005	S	800 (4) D	\$ 56.73 82	1,993 I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/07/2005	S	2,500 (4) D	\$ 56.74	9,493 I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special voting share) (2) (3)	02/07/2005	S	1,200 (4) D	\$ 56.75 813	8,293 I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial interest in special	02/07/2005	S	1,500 D	\$ 810 56.77	6,793 I (1)	By the Nickel 1997 Irrevocable Trust

voting		
share)	(2)	(3)

Trust **Shares** (beneficial

By the 100 (4) D \$ 816,693 Nickel 1997 I (1) 02/07/2005 interest in Irrevocable special Trust voting

share) (2) (3)

Trust Shares (beneficial interest in

By the Nickel 1997  $200 \frac{(4)}{57.07}$  D  $\frac{\$}{57.07}$  816,493 S I (1) 02/07/2005 Irrevocable

special voting share) (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Trust

SEC 1474 (9-02)

of Shares 8. Price of 9. Nu Derivative

Security (Instr. 5) Deriv

Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	le and	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Da	ate	Amou	ınt of	I
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									Amount	
						Date	Expiration	T:41-	or Namel	
						Exercisable	Date	Title	Number	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ARISON MICKY MEIR 3655 N.W. 87 AVENUE MIAMI, FL 33178-2428	X	X	Chairman and CEO				

Reporting Owners 4

Code V (A) (D)

#### **Signatures**

/s/ Micky M. Arison 02/08/2005

\*\*Signature of
Reporting Person

#### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the trust shares (the "Trust Shares") of beneficial interests in P&O Princess Special Voting Trust (the "Trust") and an interest in the Carnival plc special voting share.

- (1) However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Trust Shares and an interest in the Carnival plc special voting share for purposes of Section 16 or for any other purpose.
  - Represents Trust Shares of beneficial interests in the Trust. In connection with the dual listed company transaction between Carnival plc (formerly known as P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival
- (2) Corporation (the "Carnival Corporation Common Stock"). Following the completion of the DLC Transaction, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (3) The prices included on this form represent the sales price for the paired Trust Shares and shares of Carnival Corporation Common Stock.
- (4) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated August 28, 2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 5