Tohme Yazid Form 3 February 25, 2019

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FARO TECHNOLOGIES INC [FARO] Tohme Yazid (Month/Day/Year) 02/14/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O FARO TECHNOLOGIES. (Check all applicable) INC., Â 250 TECHNOLOGY

PARK \_\_\_\_\_ Director \_\_\_\_ 10% Owner (Street) \_\_\_\_ X\_\_ Officer \_\_\_\_ Other

(give title below) (specify below)

Chief R&D Officer

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

\_\_\_ Form filed by More than One

Reporting Person

LAKE MARY, FLÂ 32746

(City) (State) (Zip)

1.Title of Security (Instr. 4)

Common Stock

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Â

Direct (D) or Indirect (I) (Instr. 5)

3,533 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1473 (7-02)

D

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) 4.
Conversion or Exercise

5. 6. Nature of Indirect Ownership Beneficial Form of Ownership

(Instr. 5)

Price of Derivative Derivative Security:

1

#### Edgar Filing: Tohme Yazid - Form 3

|                                      | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--------------------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Employee Stock Option (right to buy) | 03/02/2019          | 03/02/2023         | Common<br>Stock | 2,560                            | \$ 33.05 | D  | Â |
| Employee Stock Option (right to buy) | (2)                 | 03/03/2024         | Common<br>Stock | 11,612                           | \$ 34.55 | D  | Â |
| Employee Stock Option (right to buy) | (3)                 | 03/20/2025         | Common<br>Stock | 7,550                            | \$ 61.3  | D  | Â |
| Employee Stock Option (right to buy) | (4)                 | 02/27/2025         | Common<br>Stock | 1,508                            | \$ 59.97 | D  | Â |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |
|---------------------------------|---------------|-----------|---------|-------|--|
|                                 | Director      | 10% Owner | Officer | Other |  |
| Tohme Yazid                     |               |           |         |       |  |
| C/O E L D O TECHNIOI O CIEC DIC |               |           |         |       |  |

C/O FARO TECHNOLOGIES, INC. 250 TECHNOLOGY PARK LAKE MARY, FLÂ 32746

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Chief R&D Officer  $\hat{A}$ 

# **Signatures**

/s/ Jody S. Gale, attorney-in-fact for Yazid Tohme (power of attorney filed herewith)

02/25/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issued as restricted stock units ("RSUs"), which represent the contingent right to receive an equivalent number of shares of common stock. 955 RSUs will vest on 3/2/2019, 1,616 RSUs will vest on 3/3/2020 and 962 RSUs will vest on 3/20/2021.
- (2) The stock option vests in three equal annual installments beginning March 3, 2018.
- (3) The stock option vests in three equal annual installments beginning March 20, 2019.
  - On February 27, 2015, the reporting person was granted performance-based stock options to purchase shares of common stock, with the number of stock options earned determined based on the extent to which certain performance conditions for each of fiscal years 2015,
- (4) 2016 and 2017 were met. As determined on February 11, 2016, the reporting person earned stock options to purchase 466 shares of common stock based on fiscal year 2015 performance. As determined on March 3, 2017, the reporting person earned stock options to purchase 555 shares of common stock based on fiscal year 2016 performance. As determined on March 20, 2018, the reporting person earned stock options to purchase 487 shares of common stock based on fiscal year 2017 performance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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