## Edgar Filing: FICALORA JOSEPH R - Form 4

Check this box if no longer   subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF   Section 16. SECURITIES									3235-0287 January 31, 2005 d average ours per
1. Name and A	er Name and T YORK COM ORP INC []	MMUNIT	-		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 615 MERR	(First) (Mide RICK AVENUE	,	of Earliest Tran Day/Year) 2009	nsaction			X Director X Officer (giv below) Chairma		0% Owner 0ther (specify & CEO
WESTBUF	(Street) RY, NY 11590		endment, Date onth/Day/Year)	e Original			6. Individual or Applicable Line) _X_ Form filed by Form filed by Person		Person
(City)	(State) (Zij	<sup>p)</sup> Tal	ole I - Non-De	erivative Se	ecuritie	es Acq	uired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	an	xecution Date, if	Transaction( Code (1 (Instr. 8)	A) or Dispo Instr. 3, 4 a	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/28/2009					\$0	125,000	I	By Stock Award III (1)
Common Stock							2,301,958 (2) (3)	D	
Common Stock							343,583	Ι	By ESOP
Common Stock							363,201	Ι	By 401(k)
Common Stock							230,579	Ι	By Ficalora Family Foundation

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Common Stock						589,999	Ι	By SERP			
Common Stock						60,000 <u>(3)</u>	Ι	By Stock Award II			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.							rm are not form	SEC 1474 (9-02)			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and 4	Securities 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Stock Option (right to buy)	\$ 12.5					12/21/2002 <u>(5)</u>	12/21/2011	Common Stock	150,000		
Stock Option (right to buy)	\$ 15.41					07/24/2002 <u>(6)</u>	01/24/2012	Common Stock	1,262,511		
Stock Option (right to buy)	\$ 13.85					07/24/2003 <u>(7)</u>	07/24/2012	Common Stock	266,667		
Stock Option (right to buy)	\$ 16.06					01/21/2004 <u>(8)</u>	01/21/2013	Common Stock	248,888		
Stock Option (right to buy)	\$ 16.06					12/30/2005 <u>(9)</u>	01/21/2013	Common Stock	124,445		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FICALORA JOSEPH R 615 MERRICK AVENUE WESTBURY, NY 11590	Х		Chairman, President & CEO				
Signatures							
By: /s/ Ilene A. Angarola, Pow Attorney	er of	0	4/30/2009				

<u>\*\*Signature of Reporting Person</u>

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Awards granted pursuant to the New York Community Bancorp, Inc. 2006 Stock Incentive Plan vest in five equal annual installments commencing on April 28, 2010.
- (2) Since the reporting person's last report 30,000 shares previously held by Stock Award have vested and are now owned directly.
- (3) Since the reporting person's last report 15,000 shares previously held by Stock Award II have vested and are now owned directly.
- (4) Stock Awards granted pursuant to the New York Community Bancorp, Inc. 2006 Stock Incentive Plan vest in four equal annual installments commencing on April 4, 2010.
- (5) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on December 21, 2002 and were fully vested and exercisable as of December 21, 2004.
- (6) Stock Options automatically granted pursuant to the reload feature of the New York Community Bancorp, Inc. 1997 Stock Option Plan that were exercisable on July 24, 2002.
- (7) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on July 24, 2003 and were fully vested and exercisable as of July 24, 2005.
- (8) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on January 21, 2004 and were fully vested and exercisable as of January 21, 2005.
- (9) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan were fully exercisable as of December 30, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.