Gebo Kate Form 4 March 04, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Gebo Kate |                   |          | 2. Issuer Name and Ticker or Trading Symbol United Continental Holdings, Inc. [UAL] | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)                      |  |  |  |
|---|-------------------|----------|---|--|--|--|--|
| (Last) P. O. BOX 66                                 | (First) 100 HDQLD | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/28/2019                         | Director 10% Owner Start Officer (give title Other (specify below) EVP HR and Labor Relations    |  |  |  |
| (Street)  |                   |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                                | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person |  |  |  |
| CHICAGO, II   | L 60666           |          |   | Form filed by More than One Reporting Person   |  |  |  |

| (City)                               | (State)                              | (Zip) Tab   | ole I - Non-I                           | Deri | ivative Se  | curiti | es Acquir      | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|---|------|---|--------|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) |      | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |        | d of (D)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 02/28/2019                           |   | Code F(1)                               | V    | Amount 176  | (D)    | Price \$ 87.81 | 7,627  | D  |   |
| Common<br>Stock                      | 02/28/2019                           |   | F(2)                                    |      | 113   | D      | \$<br>87.81    | 7,514  | D  |   |
| Common<br>Stock                      | 02/28/2019                           |   | $M_{\underline{(3)(4)}}$                |      | 2,124   | A      | \$ 0           | 9,638  | D  |   |
| Common<br>Stock                      | 02/28/2019                           |   | F <u>(5)</u>                            |      | 941   | D      | \$<br>87.81    | 8,697  | D  |   |
| Common<br>Stock                      | 02/28/2019                           |   | M(3)(6)                                 |      | 3,092   | A      | \$ 0           | 11,789   | D  |   |

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| Common<br>Stock | 02/28/2019 | F <u>(7)</u> | 1,370 | D | \$<br>87.81 | 10,419 | D (12) |                              |
|-----------------|------------|--------------|-------|---|-------------|--------|--------|------------------------------|
| Common<br>Stock | 02/28/2019 | F(8)         | 380   | D | \$<br>87.81 | 8,584  | I      | See<br>Footnote<br>(13) (14) |
| Common<br>Stock | 02/28/2019 | F <u>(9)</u> | 862   | D | \$<br>87.81 | 7,722  | I      | See<br>Footnote<br>(13) (14) |
| Common<br>Stock | 02/28/2019 | M(3)(10)     | 907   | A | \$ 0        | 8,629  | I      | See<br>Footnote<br>(13) (14) |
| Common<br>Stock | 02/28/2019 | M(3)(11)     | 1,038 | A | \$ 0        | 9,667  | I      | See<br>Footnote<br>(13) (14) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ative Expiration Date es (Month/Day/Year) d |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pr<br>Deri<br>Secu<br>(Inst |
|---|---|--------------------------------------|---|--|---|---|--------------------|---|--|--------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                |
| Restricted<br>Stock<br>Units                        | <u>(15)</u>   | 02/28/2019                           |   | M <u>(3)</u>                           | 2,124   | <u>(4)</u>                                  | <u>(4)</u>         | Common<br>Stock   | 2,124                                  | S                              |
| Restricted<br>Stock<br>Units                        | <u>(15)</u>   | 02/28/2019                           |   | M(3)                                   | 3,092   | <u>(6)</u>                                  | <u>(6)</u>         | Common<br>Stock   | 3,092                                  | S                              |
| Restricted<br>Stock<br>Units                        | (15)  | 02/28/2019                           |   | M(3)                                   | 907   | (10)  | (10)               | Common<br>Stock   | 907                                    | 9                              |
|   | <u>(15)</u>   | 02/28/2019                           |   | M(3)                                   | 1,038   | (11)  | (11)               |   | 1,038                                  | \$                             |

Restricted Common Stock Stock Units

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gebo Kate

P. O. BOX 66100 HDQLD CHICAGO, IL 60666

**EVP HR and Labor Relations** 

#### **Signatures**

/s/ Sarah Hagy for Kate Gebo

03/01/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the restricted stock award granted on February 17, 2016.
- (2) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the restricted stock award granted on November 16, 2016.
- (3) Represents the settlement upon vesting of restricted stock units ("RSUs") into UAL common stock.
- (4) The RSUs were granted on February 23, 2017 and vest in 1/3 annual installments on February 28, 2018, 2019 and 2020.
- (5) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the RSU award granted on February 23, 2017.
- (6) The RSUs were granted on February 22, 2018 and vest in 1/3 annual installments on February 28, 2019, 2020 and 2021.
- (7) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the RSU award granted on February 22, 2018.
- (8) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the restricted stock award granted to the spouse of the reporting person on February 17, 2016.
- (9) This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the RSUs granted to the spouse of the reporting person on February 23, 2017 and February 22, 2018.
- (10) The RSUs were granted to the spouse of the reporting person on February 23, 2017 and vest in 1/3 annual installments on February 28, 2018, 2019 and 2020.
- (11) The RSUs were granted to the spouse of the reporting person on February 22, 2018 and vest in 1/3 annual installments on February 28, 2019, 2020 and 2021.
- (12) Includes 5,374 shares held in a revocable trust in the name of the reporting person.
- (13) By spouse of the reporting person.
- (14) Includes 6,765 shares held in a revocable trust in the name of the spouse of the reporting person.
- (15) Each RSU represents the economic equivalent of one share of UAL common stock and is settled in shares of UAL common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

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