

GARCEAU DANIEL

Form 3

July 09, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Â GARCEAU DANIEL**  
 (Last) (First) (Middle)

2. Date of Event  
 Requiring Statement  
 (Month/Day/Year)  
**06/29/2018**

3. Issuer Name **and** Ticker or Trading Symbol  
**AUTOLIV INC [ALV]**

4. Relationship of Reporting Person(s) to Issuer  
 5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10%  
 Owner  
 X  Officer \_\_\_\_ Other  
 (give title below)(specify below)  
 President, Autoliv Americas

C/O AUTOLIV,  
 INC.,Â KLARABERGSVIADUKTEN  
 70, SECTION B7

(Street)

STOCKHOLM,Â V7Â SE-111 64

(City) (State) (Zip)

6. Individual or Joint/Group  
 Filing(Check Applicable Line)  
 X  Form filed by One Reporting  
 Person  
 \_\_\_\_ Form filed by More than One  
 Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	67 <sup>(1)</sup>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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 information contained in this form are not  
 required to respond unless the form displays a  
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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title		

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				Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit	Â <sup>(2)</sup>	Â <sup>(2)</sup>	Common Stock	199 <sup>(1)</sup>	\$ <sup>(3)</sup>	D	Â
Restricted Stock Unit	02/19/2020 <sup>(4)</sup>	02/19/2020 <sup>(4)</sup>	Common Stock	603.9268 <sup>(1)</sup> <sup>(5)</sup>	\$ <sup>(3)</sup>	D	Â
Restricted Stock Unit	02/13/2021 <sup>(4)</sup>	02/13/2021 <sup>(4)</sup>	Common Stock	888.0075 <sup>(1)</sup> <sup>(5)</sup>	\$ <sup>(3)</sup>	D	Â
Restricted Stock Unit <sup>(6)</sup>	02/15/2019 <sup>(4)</sup>	02/15/2019 <sup>(4)</sup>	Common Stock	597 <sup>(1)</sup>	\$ <sup>(3)</sup>	D	Â
Restricted Stock Unit <sup>(6)</sup>	02/19/2020 <sup>(4)</sup>	02/19/2020 <sup>(4)</sup>	Common Stock	603.9268 <sup>(1)</sup> <sup>(5)</sup>	\$ <sup>(3)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GARCEAU DANIEL C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM,Â V7Â SE-111 64	Â	Â	Â President, Autoliv Americas	Â

## Signatures

/s/ Zachariah Miller as attorney-in-fact for Daniel Garceau

07/09/2018

<sup>(6)</sup>Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On June 29, 2018, the issuer completed its spin-off of its wholly-owned subsidiary Veoneer, Inc. (the "Spin-off"). The number of shares of common stock held, as well as the number of shares underlying RSUs, were adjusted in connection with the Spin-off. Because the adjustment calculations were not available as of the date of this filing, this Form 3 reflects the reporting person's holdings on a pre-adjustment basis.

(2) The restricted stock units (RSUs) granted to the reporting person on February 15, 2016 vest and convert to shares in approximately installments on the first, second and third anniversaries of the grant date of the RSUs.

(3) Each RSU represents a contingent right to receive one share of issuer common stock.

(4) The RSUs vest and convert to shares in one installment on the third anniversary of the grant date.

(5) Includes dividend equivalent rights accrued in the form of additional RSUs in respect of the RSUs granted to the reporting person. Per the terms of the award agreement, any cash dividend paid with respect to Autoliv's common stock for which the record date occurs on or after the grant date and the payment date occurs on or before the vesting date will result in a credit of additional RSUs, which are subject to the same vesting schedule as the underlying RSUs.

(6) Reflects performance shares that converted to RSUs in connection with the Spin-off.

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**Remarks:**

SeeÂ ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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