Edgar Filing: Stemper Cindy J - Form 4

| Stemper Cind | ly J | | | | | | | | | | |
|--|---|--|---|--|-------------|---------|-----------------|--|--|---|--|
| Form 4 | | | | | | | | | | | |
| September 06 | 6, 2017 | | | | | | | | | | |
| FORM | UNITED S | STATES SECONTIES AND EXCHANCE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040 | | | | | | | | PROVAL 3235-0287 | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b). | Filed purs snue. Section 17(a) | | | | | | | | | January 31 2005 Estimated average burden hours per response 0.5 | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Stemper Cindy J Symb | | | | ISTOPHER & BANKS CORP | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (1 | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/01/2017 | | | | | Director 10% Owner X Officer (give title Other (specify below) SVP, CHRO | | | |
| | | | | ndment, Date Original th/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| PLYMOUTH | H, MN 55441 | | | | | | | | Aore than One Re | | |
| (City) | (State) (Z | Zip) | Table | e I - Non-De | erivative S | Securi | ties Acc | uired, Disposed of | f. or Beneficial | lv Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deen Executior any (Month/D | ned n Date, if | 3. Transactio Code (Instr. 8) | 4. Securi | ties Ad | cquired d of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | • | |
| Common Stock | 09/01/2017 | | | F <u>(1)</u> | 1,321 | D | \$ 1.29 | 43,269 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|----------------------------------|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 7.58 | | | | | 09/27/2011 <u>(3)</u> | 09/27/2020 | Common Stock | 17,250 |
| Employee Stock Option (Right to Buy) | \$ 6.28 | | | | | 04/18/2012 <u>(4)</u> | 04/18/2021 | Common Stock | 26,236 |
| Employee Stock Option (Right to Buy) | \$ 1.91 | | | | | 03/29/2013 <u>(5)</u> | 03/29/2022 | Common Stock | 29,272 |
| Employee Stock Option (Right to Buy) | \$ 6.25 | | | | | 03/15/2014 <u>(6)</u> | 03/15/2023 | Common Stock | 7,336 |
| Employee Stock Option (Right to Buy) | \$ 2.12 | | | | | 09/01/2017 <u>(7)</u> | 09/01/2026 | Common Stock | 86,019 |
| Employee Stock Option (Right to Buy) | \$ 1.28 | | | | | 03/16/2018 <u>⁽⁸⁾</u> | 03/16/2027 | Common Stock | 75,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

Stemper Cindy J 2400 XENIUM LANE NORTH PLYMOUTH, MN 55441

SVP, CHRO

Signatures

/s/ Gabriella Gelardi, Attorney-in-Fact

09/06/2017 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a transaction involving a disposition to the Company of equity securities upon the vesting of restricted stock whereby the reporting person used a portion of the shares that vested to satisfy the resulting tax withholding obligations.
- (2) This number includes restricted stock awards.
- (3) On September 27, 2010, the reporting person was granted an option to purchase 17,250 shares of Common Stock. The option vests equally in three annual installments beginning on September 27, 2011.
- (4) On April 18, 2011, the reporting person was granted an option to purchase 26,236 shares of Common Stock. The option vests in three annual installments of 8,746 shares on April 18, 2012; 8,745 shares on April 18, 2013; and 8,745 shares on April 18, 2014.
- (5) On March 29, 2012, the reporting person was granted an option to purchase 29,272 shares of Common Stock. The option vests in three annual installments of 9,758 shares on March 29, 2013; 9,757 shares on March 29, 2014; and 9,757 shares on March 29, 2015.
- (6) On March 15, 2013, the reporting person was granted an option to purchase 7,336 shares of Common Stock. The option vests in three annual installments of 2,446 shares on March 15, 2014; 2,445 shares on March 15, 2015; and 2,445 shares on March 15, 2016.
- (7) On September 1, 2016, the reporting person was granted an option to purchase 86,019 shares of the Company's Common Stock. The option vests in three annual installments of 28,673 shares on each of September 1, 2017, September 1, 2018 and September 1, 2019.
- (8) On March 16, 2017, the reporting person was granted an option to purchase 75,000 shares of the Company's Common Stock. The option vests in three annual installments of 25,000 shares on each of March 16, 2018, March 16, 2019 and March 16, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.