OLIN MARC D Form 4 March 19, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** obligations may continue.

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

See Instruction

1. Name and Address of Reporting Person \* OLIN MARC D

(First)

(Street)

6750 DUMBARTON CIRCLE

2. Issuer Name and Ticker or Trading Symbol

**ELECTRONICS FOR IMAGING** 

INC [EFII] 3. Date of Earliest Transaction

(Month/Day/Year) 01/31/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title Other (specify

below) CHIEF FINANCIAL OFFICER

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting FREMONT, CA 94555 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect Form: Direct Beneficial

(Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (Month/Day/Year) Owned (Instr. 8) (D) or Following Indirect (I) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Amount (D) Price Common 01/31/2019 824 (1) 95,452 D 22.45 Stock Common 03/17/2019 D M 1,814 (2) 97,266 Stock Common F 650 (3) D D 03/18/2019 96,616 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Ownership

(Instr. 4)

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. Number action of Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU)	<u>(4)</u>	03/17/2019		M		1,814	. (5)	03/17/2019	Common Stock	1,814

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OLIN MARC D 6750 DUMBARTON CIRCLE FREMONT, CA 94555

CHIEF FINANCIAL OFFICER

# **Signatures**

/s/ Marc Olin 03/19/2019

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is voluntarily reporting the acquisition of shares of common stock of Electronics For Imaging, Inc. (the "Company")
- (1) pursuant to the Company's Amended and Restated 2000 Employee Stock Purchase Plan. This transaction is also exempt under Rule 16b-3(c).
- (2) Upon vesting, each restricted stock unit was converted into one share of the common stock of the Company.
- (3) Represents shares of common stock withheld by the issuer for tax purposes upon vesting of RSUs. This transaction is exempt under Rule 16b-3(e).
- (4) Each restricted stock unit represents a contingent right to receive one share of the Company's common stock.
- (5) This is a performance-based award granted on December 8, 2017. A portion of this RSU award vested on March 17, 2019 based on the Company's achievement of specified levels of growth in revenue and revenue growth relative to a subset of companies from the Nasdaq composite index for the year ended December 31, 2018 above the threshold for such award following the calculation, review and approval of the Company's performance for the year ended December 31, 2018 by the Compensation Committee of the Board of

Reporting Owners 2

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Directors of the Company. Vesting of this portion of the award was subject to the reporting person's continued employment with the Company through the vesting date.

Represents the target number of RSUs subject to the award is reported in the table above. Subject to the performance-based vesting requirements and the reporting person's continued employment with the company through the applicable vesting date, the award will vest between 0% and 150% of the target number of units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.