

MUIR WILLIAM D JR  
Form 4  
November 09, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MUIR WILLIAM D JR

2. Issuer Name **and** Ticker or Trading  
Symbol  
ELECTRONICS FOR IMAGING  
INC [EFII]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
6750 DUMBARTON CIRCLE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/08/2018

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
CHIEF EXECUTIVE OFFICER

FREMONT, CA 94555

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. I

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)							
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit (RSU)	<u>(1)</u>	11/08/2018	A		47,288		<u>(2)</u>	<u>(2)</u>	Common Stock	47,288	
Restricted Stock Unit (RSU)	<u>(1)</u>	11/08/2018	A		47,288		<u>(3)</u>	<u>(3)</u>	Common Stock	47,288	
Restricted Stock Unit (RSU)	<u>(1)</u>	11/08/2018	A		25,220		<u>(4)</u>	<u>(4)</u>	Common Stock	25,220	
Restricted Stock Unit (RSU)	<u>(1)</u>	11/08/2018	A		37,831		<u>(5)</u>	<u>(5)</u>	Common Stock	37,831	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MUIR WILLIAM D JR 6750 DUMBARTON CIRCLE FREMONT, CA 94555	X		CHIEF EXECUTIVE OFFICER	

## Signatures

/s/ William Muir 11/09/2018

Signature of Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the common stock of Electronics For Imaging, Inc. (the "Company").  
The award is scheduled to vest, if, within three years of the grant date, the average per-share closing price of the Company's common stock for 60 consecutive trading days is equal to or greater than certain targets, as follows: one-third will vest if the average per-share closing price is equal to or greater than \$38.00, one-third will vest if the average per-share closing price is equal to or greater than \$42.50, and one-third will vest if the average per-share closing price is equal to or greater than \$47.00, in each case subject to the reporting person's continued employment with the Company through the applicable vesting dates and provided that no portion of this award will vest before the one-year anniversary of the grant date.
- (2)
- (3)

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A tranche of this award is scheduled to vest contingent on the Company's achievement of specified levels of growth in revenue and revenue growth relative to a subset of companies from the Nasdaq composite index for each of the fiscal years ending December 31, 2019, December 31, 2020, and December 31, 2021. A second tranche of this award is scheduled to vest contingent on the Company's achievement of specified levels of growth in earnings per share relative to growth of cash from operations relative to non-GAAP operating income growth, for each of the fiscal years ending December 31, 2019, December 31, 2020, and December 31, 2021. The target number of restricted stock units subject to the award is reported in the table above. Subject to the performance-based vesting requirements and the reporting person's continued employment through the applicable vesting date, the award will vest between 0% and 150% of the target number of units.

- (4) This award is scheduled to vest with respect to 100% the award on November 8, 2019, subject to the reporting person's continued employment with the Company through the applicable vesting date and that the reporting person has relocated to the San Francisco Bay Area by October 22, 2019.
- (5) This award is scheduled to vest with respect to two-thirds of the award on November 8, 2020, and with respect to one-third of the award on November 8, 2021 subject to the reporting person's continued employment with the Company through the applicable vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.