Edgar Filing: MATHER COURTNEY - Form 4

| MATHER COUL Form 4 | RTNEY | | | | | | | | | |
|--|---|--|--|--|--|--|--|--|---|--|
| May 17, 2018 | | | | | | | | OMB A | PPROVAL | |
| FORM 4 | UNITED | STATES | | RITIES A | | | COMMISSIO | | 3235-0287 | |
| Check this boy if no longer subject to Section 16. Form 4 or Form 5 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Expires: Estimated burden hou response | urs per | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type Respo | onses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> MATHER COURTNEY | | | 2. Issuer Name and Ticker or Trading Symbol NEWELL BRANDS INC [NWL] | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | (Check all applicable) | | | | |
| C/O NEWELL BRANDS INC., 221 RIVER STREET | | | 05/15/2018 | | | | Officer (give titleOther (specify below) | | | |
| | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| HOBOKEN, NJ | 07030 | | | | | | Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| | ansaction Date 1th/Day/Year) | 2A. Deemo Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, 4 | (A) or of (D) | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | | (D) Price | . , | | | |
| Reminder: Report or | n a separate line | e tor each cla | ass of sec | urities bene | Perso inforn requir | ons who res nation cont red to respo | or indirectly. spond to the colle ained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | |

number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Pr |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|-------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Deriv |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Secu |

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| (Instr. 3) | nstr. 3) Price of Derivative Security | | (Month/Day/Year) (Instr. 8 | | (A) or Dispos (D) | |) or sposed of) str. 3, 4, | | | (I | | (Inst |
|------------------------------|---|------------|----------------------------|------|-------------------------|-------|--------------------------------------|---------------------|--------------------|-----------------|--|-------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 05/15/2018 | | А | | 6,422 | | (2) | (3) | Common Stock | 6,422 | S |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| MATHER COURTNEY C/O NEWELL BRANDS INC. 221 RIVER STREET HOBOKEN, NJ 07030 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Leah Lower, attorney-in-fact Mather | ney | 05/ | 17/2018 | | | | | |
| <u>**</u> Signature of Reporting Per | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Newell Brands Inc. common stock.

The reporting person shall become fully vested in his or her award upon the earlier of: (i) the first anniversary of the date of the grant of the award; or (ii) the date immediately preceding the date of the Company's 2019 annual meeting of shareholders, provided he or she remains in continuous service on the Board until such date, but the award may vest earlier in the event of death, disability or retirement. If

(2) The Company pays a dividend on its common stock, upon vesting, the reporting person will receive an amount in cash equal in value to the dividends that the reporting person would have received had the reporting person been the actual owner of the number of shares of Company common stock represented by the restricted stock units.

(**3**) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.