MOOG INC. Form 4 February 01, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Seiffer Harald A

SENECA ST & JAMISOND RD

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MOOG INC. [MOGA/MOGB]

(First) (Middle) (Last)

3. Date of Earliest Transaction

(Month/Day/Year) 01/30/2017

(Check all applicable)

Director Officer (give title

10% Owner \_X\_ Other (specify

below)

below)

Retired

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

EAST AURORA, NY 14052

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Indirect (I)

(Instr. 4)

Ownership (Instr. 4)

(A) or

Transaction(s)

Amount (D) Price

(Instr. 3 and 4)

Class A 01/30/2017 Common

(City)

M 34,972 65.48

44,972

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: MOOG INC. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Secu Acqu or D (D)	urities uired (A) isposed of r. 3, 4,	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SAR (1)	\$ 35.12	01/30/2017		M		20,500	(2)	10/31/2018	Class A Common	20,500
SAR (1)	\$ 36.86	01/30/2017		M		20,500	(2)	11/30/2020	Class A Common	20,500
SAR (1)	\$ 41.82	01/30/2017		M		20,500	(2)	11/30/2021	Class A Common	20,500
SAR (1)	\$ 36.41	01/30/2017		M		20,500	(2)	11/27/2022	Class A Common	20,500
Option to Buy	\$ 42.45						11/26/2010	11/26/2017	Class A Common	20,250
SAR (1)	\$ 61.69						(2)	11/11/2023	Class A Common	10,000
SAR (1)	\$ 74.38						(2)	11/11/2024	Class A Common	10,000
SAR (4)	\$ 63.04						(2)	11/17/2025	Class A Common	3,333
SAR (4)	\$ 65.9						(2)	11/17/2025	Class B Common	6,667
SAR (4)	\$ 71.65						(2)	11/15/2026	Class B Common	10,000

# **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Seiffer Harald A							
SENECA ST & JAMISOND RD				Retired			
EAST AURORA, NY 14052							

Reporting Owners 2

# **Signatures**

Timothy P. Balkin, as Power of Attorney for Harald A.
Seiffer

02/01/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- (2) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (3) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- (4) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3