Edgar Filing: WELTY CLAUDIA SCOTT - Form 4

WELTY CLAUDIA SCOTT

Form 4

October 07, 2002

_ Check this box if no

longer subject to Section

obligations may continue.

16. Form 4 or Form 5

See Instruction 1(b).

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

1. Name and Add Welty Claudia S	2. Issuer		me and Tic	ker or	I	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) 30 West Superio	of Reporting Person,					10nth/Day/Year 0/7/02	Director					
(Street) Duluth, MN 55802							D	. If Amendment, Oute of Original Month/Day/Year)	Technology 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting			
(0:1)	(0,)	(G:)	77		. N. D			-]	Reporting Perso			
(City) (State) (Zip)				able					isposed of, or Beneficially Owned			
1. Title of	2. Trans-	2A. Deemed	3. Trans		 Securitie 			5. Amount of	6. Owner-	7. Nature of		
Security		Execution			(A) or Disp		of (D)			Indirect		
(Instr. 3)	Date	Date,	(Instr. 8)		(Instr. 3, 4			Beneficially	,	Beneficial		
	(Month/ Day/	-	Code	V	Amount	(A)	Pric		or Indirect (I)	_		
	Year)	(Month/Day/				or		ing Reported	(Instr. 4)	(Instr. 4)		
		Year)				(D)		Transactions(s) (Instr. 3 & 4)				
Common Stock								8581.763 <u>(</u>	<u>D</u>			
Common Stock								4777.613	<u>1</u>	By RSOP Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

			0/1								
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Derivati	(MeIonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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1.	1	1	L.	I	1			L		1		I		1.	1
(Instr. 3)	Derivative		if any		S	ecu	ıriti	Y ear)		(Instr	: 3 & 4)	Owned	of Deriv-	(Instr. 4)	
	Security	(Month/	(Month/	(Instr.	Δ	cqi	uire	d				Following	ative		
		Day/	Day/	8)	(.	A) (or					Reported	Security:		
			Year)		`		ose	d				*	Direct		
						f (I						(Instr. 4)	(D)		
												,	or		
					(1	[nst	r.						Indirect		
					3	, 4	&						(I)		
					5)							(Instr. 4)		
				Code	V (.	A)	(D)	Date	Expira-	Title	Amount				
					ľ			Exer-cisable	-		or				
									Date		Number				
											of				
											Shares				I

Explanation of Responses:

(1) Includes shares acquired in exempt transactions under the dividend reinvestment features of ALLETE's stock purchase and dividend reinvestment and employee stock purchase plans. This information is based on plan statements as of September 30, 2002.

(2) Includes shares acquired in exempt transactions under ALLETE's retirement savings and stock ownership plan (RSOP). RSOP is the recent consolidation of ALLETE's supplemental retirement and employee stock ownership plans. This information is based on an RSOP statement as of September 30, 2002.

By: /s/ Ingrid Kane-Johnson
Ingrid Kane-Johnson f/k/a Ingrid L. Kane for
Claudia S. Welty
**Signature of Reporting Person

October 7, 2002

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).