Edgar Filing: Ally Financial Inc. - Form 4

| Ally Financi Form 4 June 10, 201 | | | | | | | | | | | | |
|--|---|---|---|-----------------------------|--|--------|--------------------|--|--|--------------|--|--|
| | | | | | | | | | | OMB APPROVAL | | |
| | UNITED | Washington, D.C. 20549 | | | | | | | | 3235-0287 | | |
| Check thi if no long subject to Section 1 Form 4 o | ger STATEM 6. | | | | | | | NERSHIP OF | Expires: Estimated a burden hou response | urs per | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | $\frac{ns}{inue.}$ Section 17(a | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | | | |
| Gunn Brian M Syn | | | 2. Issuer Name and Ticker or Trading Symbol Ally Financial Inc. [ALLY] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (N | 2 | | | - | -] | | (Check all applicable) | | | | |
| () | | | 3. Date of Earliest Transaction (Month/Day/Year)06/06/2014 | | | | | Director Interface Director Interface Director Interface Interface | | | | |
| (Street) 4. If | | | 4. If Ame | If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | | Ionth/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Yansaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securi on(A) or Di (Instr. 3, | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| G | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | | |
| Common Stock (1) | 06/06/2014 | | | М | 589 | А | \$0 | 1,589 | D | | | |
| Common Stock | 06/06/2014 | | | F | 76 | D | \$ 23.72 (2) | 1,513 | D | | | |
| Common Stock | 06/06/2014 | | | D | 513 | D | \$ 23.72 (2) | 1,000 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

·

1

Edgar Filing: Ally Financial Inc. - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | orDerivative Securities Acquired or Dispose (D) | (Instr. 3, 4, | | | | 8. Pr Deri Secu (Inst | |
|---|---|---|---|--|---|---------------|---------------------|--------------------|-----------------|--|---|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Deferred Stock Units (DSU) | <u>(3)</u> | 06/06/2014 | | A | 2,108 | | (3) | (3) | Common Stock | 2,108 | 9 |
| Deferred Stock Units (DSU) | <u>(3)</u> | 06/06/2014 | | М | | 589 | (3) | (3) | Common Stock | 589 | 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|--------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Gunn Brian M 200 RENAISSANCE CENTER DETROIT, MI 48265 | | | Chief Risk Officer | | | | | |
| <u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u><u></u></u> | | | | | | | | |

Signatures

/s/ Cathy L. Quenneville, attorney-in-fact for Mr. Gunn <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock reported includes (i) shares issued upon the settlement of a portion of DSUs awarded in prior periods, plus (ii) shares issued as a result of the immediate settlement of the portion of DSUs granted, to pay applicable taxes.
- (2) Represents the per share fair market value of the Company's common stock as of May 28, 2014.

(3)

Edgar Filing: Ally Financial Inc. - Form 4

Each Deferred Stock Unit (DSU) represents a vested right to receive the value of one share of the Company's common stock in cash equal to the fair market value of a share of the Company's common stock. A portion of all DSUs granted are immediately settled to pay applicable taxes. DSUs do not have an expiration or exercise date or carry a conversion price. May reflect rounding of fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.