

MCCARTY JAMES E
Form 4
October 02, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCCARTY JAMES E

2. Issuer Name and Ticker or Trading Symbol
INDEPENDENT BANK CORP /MI/ [IBCP]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
4200 E BELTLINE AVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/16/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

GRAND RAPIDS, MI 49525
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Underlying Securities |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|-----------------------------------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|-----------------------------------|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | (Instr. 3, 4, and 5) |
|---------------------------|------------------------------------|------------------|------------|--|-------------------------|------|---|-----|-----|---------------------|--------------------|-----------------|-------------------------------------|-------------------------|
| Phantom Stock Units | (1) | 02/16/2016 | A | V | 182.55 | | | | | (1) | (1) | Common Stock | 182.55 | \$ 1 |
| Phantom Stock Units | (1) | 05/16/2016 | A | V | 180.84 | | | | | (1) | (1) | Common Stock | 180.84 | \$ 1 |
| Phantom Stock Units | (1) | 08/15/2016 | A | V | 165.37 | | | | | (1) | (1) | Common Stock | 165.37 | \$ 1 |
| Phantom Stock Units | (1) | 11/15/2016 | A | V | 185.91 | | | | | (1) | (1) | Common Stock | 185.91 | \$ 1 |
| Phantom Stock Units | (1) | 02/15/2017 | A | V | 158.65 | | | | | (1) | (1) | Common Stock | 158.65 | \$ 2 |
| Phantom Stock Units | (1) | 05/15/2017 | A | V | 159.16 | | | | | (1) | (1) | Common Stock | 159.16 | \$ 2 |
| Phantom Stock Units | (1) | 08/15/2017 | A | V | 163.15 | | | | | (1) | (1) | Common Stock | 163.15 | \$ 2 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| MCCARTY JAMES E 4200 E BELTLINE AVE GRAND RAPIDS, MI 49525 | X | | | |

Signatures

s/Darcy J. Benjamin,
Attorney-in-Fact

10/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Phantom Stock Units were accrued under the Independent Bank Corporation Deferred Compensation and Stock Purchase Plan for Non-Employee Directors and are to be settled in Independent Bank Corporation Common Stock upon the reporting person's retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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