AUTONATION, INC.

Form 4 June 02, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

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if no longer subject to Section 16. Form 4 or

Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JACKSON MICHAEL J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

AUTONATION, INC. [AN]

(Check all applicable)

(First) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

X Director 10% Owner X\_ Officer (give title Other (specify

06/01/2016

below) Chairman, CEO & President

200 SW 1ST AVE, SUITE 1600

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

(Instr. 8)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FORT LAUDERDALE, FL 33301

(State)

(Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (T)

Reported Transaction(s)

Ownership (Instr. 4) (Instr. 4)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(A)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and Amount of 8 Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date **Underlying Securities** Code Security or Exercise any Securities (Month/Day/Year) (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(A Di (D (Iı		Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 34.09	06/01/2016		J <u>(1)</u>	V		5,260	(2)	03/01/2022	Common Stock, par value \$0.01 per share	5,260
Employee Stock Option (right to buy)	\$ 35	06/01/2016		J <u>(1)</u>	V		5,260	<u>(2)</u>	03/01/2022	Common Stock, par value \$0.01 per share	5,260
Employee Stock Option (right to buy)	\$ 41.16	06/01/2016		J <u>(1)</u>	V		5,260	(2)	03/01/2022	Common Stock, par value \$0.01 per share	5,260
Employee Stock Option (right to buy)	\$ 38.63	06/01/2016		J <u>(1)</u>	V		5,260	<u>(2)</u>	03/01/2022	Common Stock, par value \$0.01 per share	5,260
Employee Stock Option (right to buy)	\$ 43.45	06/01/2016		J <u>(1)</u>	V		4,546	(3)	03/01/2023	Common Stock, par value \$0.01 per share	4,546
Employee Stock Option (right to buy)	\$ 46.22	06/01/2016		J <u>(1)</u>	V		4,546	(3)	03/01/2023	Common Stock, par value \$0.01 per share	4,546
Employee Stock Option (right to buy)	\$ 47.25	06/01/2016		<u>J(1)</u>	V		4,546	<u>(3)</u>	03/01/2023	Common Stock, par value \$0.01 per share	4,546
Employee Stock	\$ 48.8	06/01/2016		<u>J(1)</u>	V		4,546	<u>(3)</u>	03/01/2023	Common Stock, par	4,546

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Option (right to buy)							value \$0.01 per share	
Employee Stock Option (right to buy)	\$ 52.65	06/01/2016	J <u>(1)</u> V	8,570	<u>(4)</u>	03/03/2024	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 57.44	06/01/2016	J <u>(1)</u> V	8,570	<u>(4)</u>	03/03/2024	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 54.04	06/01/2016	J <u>(1)</u> V	8,570	<u>(4)</u>	03/03/2024	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 58.29	06/01/2016	J <u>(1)</u> V	8,570	<u>(4)</u>	03/03/2024	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 62.6	06/01/2016	J <u>(1)</u> V	8,570	(5)	03/02/2025	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 62.93	06/01/2016	J <u>(1)</u> V	8,570	<u>(5)</u>	03/02/2025	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 58.08	06/01/2016	J <u>(1)</u> V	8,570	<u>(5)</u>	03/02/2025	Common Stock, par value \$0.01 per share	8,570
Employee Stock Option (right to buy)	\$ 64.48	06/01/2016	J <u>(1)</u> V	8,570	<u>(5)</u>	03/02/2025	Common Stock, par value \$0.01 per share	8,570

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
JACKSON MICHAEL J 200 SW 1ST AVE SUITE 1600 FORT LAUDERDALE, FL 33301	X		Chairman, CEO & President				

## **Signatures**

/s/ Jonathan P. Ferrando, Attorney-in-Fact

06/02/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition pursuant to a transaction that is exempt from Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), pursuant to Rule 16a-12 under the Exchange Act.
- (2) The option became exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2012.
- (3) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2013, subject to continuous employment with the Company.
- (4) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2014, subject to continuous employment with the Company.
- (5) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2015, subject to continuous employment with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners