

CASTLE A M & CO
Form 4
June 20, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
PU TH JOHN W

(Last) (First) (Middle)

3400 NORTH WOLF ROAD

(Street)

FRANKLIN PARK, IL 60131

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CASTLE A M & CO [CAS]

3. Date of Earliest Transaction
(Month/Day/Year)
06/16/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D) Price	12,375	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Am or Nun of Sha
Phantom Stock	\$ 0	06/16/2005	06/16/2005	I ⁽¹⁾	14,252.04	08/08/1988	08/08/1988 ⁽²⁾	Common Stock	
Stock Options (Right to buy)	\$ 8.52					06/01/2005	06/01/2014	Common Stock	7,
Stock Options (Right to buy)	\$ 10					07/27/2001	07/27/2010	Common Stock	3,
Stock Options (Right to buy)	\$ 10.35					06/03/2003	06/02/2012	Common Stock	5,
Stock Options (Right to buy)	\$ 12.1					06/01/1996	06/01/2005	Common Stock	1,
Stock Options (Right to buy)	\$ 12.31					06/01/2001	06/01/2010	Common Stock	1,
Stock Options (Right to buy)	\$ 13					06/01/2002	06/01/2011	Common Stock	5,
Stock Options (Right to buy)	\$ 15.06					06/01/2000	06/01/2009	Common Stock	1,
Stock Options (Right to buy)	\$ 21.875					06/02/1998	06/01/2007	Common Stock	1,
Stock Options	\$ 22.44					06/01/1999	06/01/2008	Common Stock	1,

(Right to
buy)

Stock

Options

(Right to

buy)

\$ 28.25

06/03/1997

06/02/2006

Common
Stock

1,

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PUTH JOHN W 3400 NORTH WOLF ROAD FRANKLIN PARK, IL 60131			X	

Signatures

Jerry M. Aufox

06/17/2005

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Under Rule 16b-3(f)

(2) Phantom stock acquired under a deferred compensation plan - exercise and expiration date will be the insider's retirement date, which is not yet known.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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