

GARRETT THOMAS L

Form 3

June 10, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

GARRETT THOMAS L

(Last) (First) (Middle)

3400 NORTH WOLF ROAD

(Street)

FRANKLIN

PARK, IL 60131

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/01/2005

3. Issuer Name and Ticker or Trading Symbol
CASTLE A M & CO [CAS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)
 President of Total Plastics

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

8,871

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Stock Options (Right to buy)	10/23/2004	10/23/2013	Common Stock	12,000	\$ 5.21	D	Â
Stock Options (Right to buy)	10/24/2003	10/24/2012	Common Stock	4,000	\$ 6.39	D	Â
Stock Options (Right to buy)	05/01/2002	05/01/2011	Common Stock	5,000	\$ 9.87	D	Â
Stock Options (Right to buy)	07/27/2001	07/27/2010	Common Stock	8,000	\$ 10	D	Â
Stock Options (Right to buy)	07/26/2002	07/26/2011	Common Stock	12,000	\$ 11	D	Â
Stock Options (Right to buy)	07/22/2000	07/22/2009	Common Stock	5,000	\$ 16	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GARRETT THOMAS L 3400 NORTH WOLF ROAD FRANKLIN PARK, IL 60131	Â	Â	Â President of Total Plastics	Â

Signatures

Jerry M. Aufox 06/10/2005

__Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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