#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

#### ALLIANCE RESOURCE PARTNERS LP

Form 4

February 16, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

02/11/2016

unit

1. Name and Address of Reporting Person * MARSHALL CARY P			2. Issuer Name and Ticker or Trading Symbol ALLIANCE RESOURCE PARTNERS LP [ARLP]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 1717 S. BOU AVENUE, S	ULDER	(	3. Date of (Month/Date 02/11/20	· · · · · · · · · · · · · · · · · · ·				Director 10% Owner Officer (give title Other (specify below) VP Corporate Finance			
			nendment, Date Original (onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			d of (D) 5)	5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)			
Common unit	02/11/2016			M	6,234	A	<u>(4)</u>	15,736	D		
Common	02/11/2016			E	2 3/18	D	\$ 12.38	13 388	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

2,348

D

(5)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

12.38 13,388

D

#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom unit	<u>(2)</u>	02/12/2016		A	1,018		<u>(1)</u>	(3)	Common unit	1,018
Restricted units	<u>(4)</u>	02/11/2016		M		6,234	02/11/2016	(3)	Common units	6,234

### **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

MARSHALL CARY P 1717 S. BOULDER AVENUE SUITE 400 TULSA, OK 74119

**VP** Corporate Finance

## **Signatures**

/s/ Cary P. Marshall by Kenneth Hemm, pursuant to power of attorney dated April 12, 2013

02/16/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom units are to be settled in ARLP common units upon the reporting person's death or termination.
- (2) 1 for 1
- (3) Not applicable
- On January 22, 2016, our Compensation Committee determined that the vesting requirements for the 2013 Long-Term Incentive Plan (4) grants had been satisfied. As a result restricted units were determined to be vested effective January 1, 2016 and subsequently issued on
- (4) grants had been satisfied. As a result restricted units were determined to be vested effective January 1, 2016 and subsequently issued or February 11, 2016 based upon an ARLP unit price of \$12.38.
- (5) Upon vesting, restricted units are withheld by the Partnership for the payment of tax liability at the vesting price of \$12.38.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2