## Edgar Filing: HARTNETT MICHAEL J - Form 4

Form 4	TT MICHAEL J											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
FUNI	VI 4 UNITED	<b>4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287	
Check if no lo	this box								Expires:	January 31,		
subject Section Form 4	to STATE			NGES IN BENEFICIAL OWNE SECURITIES						Estimated a burden hour response		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	e Responses)											
HARTNETT MICHAEL J Symbol				Ia					. Relationship of Reporting Person(s) to ssuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date	of Earlie	est [	Fransactior	1		(Check	all applicable	)	
(Month/ 102 WILLENBROCK ROAD, ONE 09/20/2 TRIBOLOGY CENTER				th/Day/Year) 0/2018					Director 10% Owner Officer (give title Other (specify below) below) President and CEO			
OXFORD	(Street) , CT 06478		4. If An Filed(M			Date Origin ar)	al	Aj _2	Individual or Joi oplicable Line) (_ Form filed by Ou _ Form filed by Mo rson	ne Reporting Per	son	
(City)	(State)	(Zip)	Та	hlo I - N	Jon	Dorivativ	a Sacu		ed, Disposed of,	or Bonoficiall	v Owned	
1.Title of	2. Transaction Date	2A Deem		3.	1011-			-	5. Amount of	6.	7. Nature of	
Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if			TransactiorDisposed of (D) Code (Instr. 3, 4 and 5)				)	Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)	
G				Code	v	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	09/20/2018			Μ		2,029	А	\$ 72.94	375,841 (1) (2) (3) (3)	D		
Common Stock	09/20/2018			S		2,029	D	\$ 152.3909	373,812 <u>(1)</u> (2) (3)	D		
Common Stock	09/20/2018			М		4,571	А	\$ 99.64	378,383 <u>(1)</u> (2) (3)	D		
Common Stock	09/20/2018			S		4,571	D	\$ 152.3909	373,812 <u>(1)</u> (2) (3)	D		
Common Stock	09/21/2018			М		11,429	А	\$ 99.64	$385,241 \underline{(1)} \\ \underline{(2)} \underline{(3)}$	D		

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Common Stock 09/21/2018 S 11,429 D  $\begin{array}{c} \$ & 373,812 \\ 153.3087 \\ \hline (2) \\ (3) \end{array}$  D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	orDeriv Secu Acqu or Di (D)	rities hired (A) isposed of r. 3, 4,	tive Expiration Date (Month/Day/Year) red (A) posed of 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Option to Purchase Common Stock	\$ 72.94	09/20/2018		М		2,029	07/08/2017 <u>(4)</u>	07/08/2023	Common Stock	2,02
Option to Purchase Common stock	\$ 99.64	09/20/2018		М		4,571	06/27/2018 <u>(5)</u>	06/27/2024	Common Stock	4,57
Option to Purchase Common stock	\$ 99.64	09/21/2018		М		11,429	06/27/2018 <u>(5)</u>	06/27/2024	Common Stock	11,42
Option to Purchase Common Stock	\$ 64.15						07/01/2015 <u>(6)</u>	07/01/2021	Common Stock	20,00
Option to Purchase Common Stock	\$ 72.83						07/01/2016 <u>(7)</u>	07/01/2022	Common Stock	32,00
Option to Purchase Common Stock	\$ 132.12						06/07/2019 <u>(8)</u>	06/07/2025	Common Stock	76,00

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
HARTNETT MICHAEL J 102 WILLENBROCK ROAD ONE TRIBOLOGY CENTER OXFORD, CT 06478			President and CEO					
Signatures								
/s/John J. Feeney /attorney in fact	0	9/24/2018						
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 16,783 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/8/2019. Unvested shares of Restricted
  (1) Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

26,133 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/2 vest on 6/27/2019 and 1/2 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the

(2) Vest on 6/2//2019 and 1/2 vest on 6/2//2020. Unvested shares of Restricted Stock (i) may be inimediately forfered to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.

52,040 shares of the reported Common Stock are Restricted Shares. 1/3 vest on 6/7/2019, 1/3 vest on 6/7/2020 and 1/3 vest on 6/7/2021. Unvested shares of Restricted Stock (i)may be immediately forfeited to the Company at the time the grantee ceases to be an officer or

- (3) Onvested shares of Restricted Stock (finity be miniediately forfered to the Company at the time the grantee ceases to be an officer of employee of, or otherwise perform services for, the company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (4) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/3 vest on 7/8/2019, 1/3 vest on 7/8/2020 and 1/3 vest on 7/8/2021. Options expire 7 years from grant date.
- (5) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/4 vest on 6/27/2019, 1/4 vest on 6/27/2021 and 1/4 vest on 6/27/2022. Options expire 7 years from grant date.
- (6) Options to purchase shares of Common Stock vest on 7/1/2019. Options expire 7 years from grant date.
- (7) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/2 vest on 7/1/2019 and 1/2 vest on 7/1/2019 and 1/2 vest on 7/1/2020. Options expire 7 years from grant date.
- (8) Options to purchase shares of Common Stock are subject to the following vesting schedule 1/5 vest on 6/7/2019, 1/5 vest on 6/7/2020, 1/5 vest on 6/7/2021, 1/5 vest on 6/7/2022 and 1/5 vest on 6/7/2023. Options expire 7 years from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.