Edgar Filing: Dorling Janet - Form 4

Dorling Jane	t											
Form 4												
February 07,	2019											
FORM	14										PPROVAL	
	UNITI	ED STATES						NGE (COMMISSION	OMB	3235-0287	
Check thi	a hav		Was	hington	, E	D.C. 205	549			Number:		
if no long	er									Expires:	January 31,	
subject to		EMENT O	F CHAN		GES IN BENEFICIAL OWNERSHIP					Estimated average burden hours per		
Section 1				SECUI	ECURITIES							
Form 4 or										response 0.5		
Form 5 obligatior									e Act of 1934,			
may conti									f 1935 or Sectio	n		
See Instru	iction	30(h)) of the In	vestmen	t C	Company	y Act	of 194	40			
1(b).												
(Print or Type R	(esponses)											
1. Name and Address of Reporting Person * Dorling Janet2. Issuer Symbol				r Name and Ticker or Trading				g	5. Relationship of Reporting Person(s) to			
				nbol					Issuer			
			Achaoge	en, Inc. [[A]	KAO]			(Chec	k all applicable	9	
(Last)	(First)	(Middle)	3. Date of	Earliest T	rar	isaction			(Chief	in un uppneuble	·)	
			(Month/D	Ionth/Day/Year)					Director		Owner	
			2/05/2019					XOfficer (give titleOther (specify below) below)				
PLACE #30	0								/	ommercial Offi	cer	
			4. If Ame	mendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Month/Day/Year)					Applicable Line)				
				2					_X_ Form filed by (
SOUTH SA	N								Form filed by M Person	Nore than One Re	porting	
FRANCISC	O, CA 94080	1							1010011			
(City)	(State)	(Zip)	Table	e I - Non-l	Dei	rivative S	Securi	ties Acc	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction	Date 2A. Dee	emed	3.		4. Securi	ties Ac	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Y	ear) Execution						d of	Securities	Form: Direct		
(Instr. 3)		any (Month)	Code (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Beneficially Owned	(D) or	Beneficial		
		(Month/	/Day/Year)	(Instr. 8))	(Instr. 3,	4 and	3)	Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
									Reported	(1115411-1)	(11041 1)	
							(A) or		Transaction(s)			
				Code V	V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	02/05/2019			S <u>(1)</u>		818	D	\$ 1.35	285,801 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other
Dorling Janet C/O ACHAOGEN, INC. 1 TOWER PLACE #300 SOUTH SAN FRANCISCO, CA 94080			Chief Commercial Officer	
Signatures				
/s/ Gary Loeb, Attorney-in-fact for Janet Dorling		02/07/20	19	
<u>**</u> Signature of Reporting Person		Date		
Explanation of Respons	ses:			

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold solely to satisfy tax or other government withholding obligations in connection with the vesting of Restricted Stock Units ("RSUs") of the Issuer.
- (2) Includes 279,200 RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.