## Edgar Filing: Descheneaux Michael - Form 4

| Descheneau   | x Michael  |  |   |  |   |                    |                        |   |                           |   |   |                        |
|--|--|--|---|--|---|--------------------|------------------------|---|---------------------------|---|---|------------------------|
| Form 4   |  |  |   |  |   |                    |                        |   |                           |   |   |                        |
| February 01  | , 2019   |  |   |  |   |                    |                        |   |                           |   |   |                        |
| FORM   | Λ4   | ~  |   |  |   |                    |                        | ~   |                           | OMB APPROVAL                                      |   | 4L                     |
|  | SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |  |   |  |   |                    |                        |   | 3235                      | -0287   |   |                        |
| Check the<br>if no lon<br>subject to<br>Section<br>Form 4                    | nger <b>STATEN</b><br>to 16.                                 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES  |   |  |   |                    |                        |   |                           |   | Janua<br>ed average<br>hours per<br>e                             | 1ry 31,<br>2005<br>0.5 |
| Form 5<br>obligatio<br>may cor<br><i>See</i> Inst<br>1(b).<br>(Print or Type | ntinue. Section 17(<br>ruction                               | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |  |   |                    |                        |   |                           |   |   |                        |
| × 51   | 1 /  |  |   |  |   |                    |                        |   |                           |   |   |                        |
| 1. Name and Address of Reporting Person <u>*</u><br>Descheneaux Michael      |  |  | Symbol  | er Name <b>an</b>                      |   |                    |                        | 5. Relationship of Reporting Person(s) to Issuer  |                           |   |   |                        |
|  |  | SVB F  | INANCL  | AL GRO                                 | UP [  | SIVB]              | (Check all applicable) |   |                           |   |   |                        |
| (Last) (First) (Middle) 3005 TASMAN DRIVE                                    |  |  | <ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>01/30/2019</li></ul> |  |   |                    |                        | Director 10% Owner<br>Officer (give title 0ther (specify<br>below)<br>President, Silicon Valley Bank  |                           |   |   |                        |
|  |  |  |   | endment, D<br>nth/Day/Yea              | -   | al                 |                        | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |                           |   |   |                        |
| SANTA CI   | LARA, CA 95054   | ļ  |   |  |   |                    |                        | Person  | by wion                   |   | e Reporting   |                        |
| (City)   | (State)  | (Zip)  | Tab   | le I - Non-                            | Derivative  | e Secu             | rities A               | Acquired, Dispose   | d of, o                   | r Benefi  | cially Owne   | d                      |
| 1.Title of<br>Security<br>(Instr. 3)   | Security (Month/Day/Year) Execution Date, if                 |  |   | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>onAcquirec<br>Disposec<br>(Instr. 3, | l (A) c<br>l of (D | ))                     | SecuritiesOBeneficiallyFoOwnedDFollowingor  | Form:<br>Direct<br>or Ind | vnership In<br>rm: B<br>rect (D) O<br>Indirect (I | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                        |
| C  |  |  |   | Code V                                 | Amount  | (A)<br>or<br>(D)   | Price                  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | (I)<br>(Instr.            | . 4)  |   |                        |
| Common<br>Stock  | 01/30/2019   |  |   | F                                      | 3,056<br>(1)                                      | D                  | \$<br>240              | 21,611  | D                         |   |   |                        |
| Common<br>Stock  |  |  |   |  |   |                    |                        | 322   | Ι                         |   | By<br>401(k)/ES   | SOP                    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | Date               | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>lying                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address                                    | Relationships |           |                                |       |  |  |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|--|--|
| I O   | Director      | 10% Owner | Officer                        | Other |  |  |  |  |  |
| Descheneaux Michael<br>3005 TASMAN DRIVE<br>SANTA CLARA, CA 95054 |               |           | President, Silicon Valley Bank |       |  |  |  |  |  |
| Signatures  |               |           |                                |       |  |  |  |  |  |
| Denise West, Attorney-in-Fact<br>Descheneaux                      | for Mich      | ael       | 02/01/2019                     |       |  |  |  |  |  |
| <u>**</u> Signature of Reportin                                   | g Person      |           | Date                           |       |  |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld by Issuer for payment of tax liability incurred upon vesting of performance restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.