Edgar Filing: COOL TECHNOLOGIES, INC. - Form 4

COOL TECI Form 4 April 28, 201	HNOLOGIES, IN 16	C.	3				-, -				
<u> </u>									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 o	6.	ENT OI	OF CHANGES IN BENEFICIAL OWNERSHIP OI SECURITIES						Expires: Estimated a burden hou response	ated average n hours per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Bibb Judson William III			2. Issuer Name and Ticker or Trading Symbol COOL TECHNOLOGIES, INC.					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[WARM]					(Check an applicable)			
(Last) (First) (Middle) C/O COOL TECHNOLOGIES,			3. Date of Earliest Transaction (Month/Day/Year) 04/27/2016					X Director 10% Owner X Officer (give title Other (specify below) below) Vice President			
	HIDDEN RIVER 7, SUITE 300										
	(Street)	eet) 4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
TAMPA, FI						Iore than One Reporting					
(City)	(State) ((Zip)	Table	e I - Non-D	erivative	Securi	ties Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution (Instr. 3) any				4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	04/27/2016	04/27/2	2016	Р	5,000	А	\$ 0.2	972,400	D		
Common Stock	04/28/2016	04/28/2	2016	Р	4,000	A	\$ 0.23	976,400	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Unde Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
the Portung of the Portuge (1999)	Director	10% Owner	Officer	Other		
Bibb Judson William III C/O COOL TECHNOLOGIES, INC. 8875 HIDDEN RIVER PARKWAY, SUITE 300 TAMPA, FL 33637	Х		Vice President			

Signatures

Judson William 04/28/2016 Bibb III

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.