Edgar Filing: American Midstream Partners, LP - Form 4

American Midstream Partners, LP Form 4

Units

| August 08, 20 | 016 | | | | | | | | | | | |
|--|------------------------------------|---|-------------------------------------|---|-------------|---|------------------------|---------|--|--|---|--|
| FORM | 4 | | | | | | | | | | PPROVAL | |
| | Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | |
| Check thi if no long subject to Section 10 Form 4 or Form 5 | er STATE 5. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: Estimated a burden hou response | irs per | |
| obligation may conti <i>See</i> Instru 1(b). | nue. Section 1' | 7(a) of the | | ility H | oldi | ing Com | pany | Act o | f 1935 or Sectio | on | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| Energy Spectrum Securities Corp _{Sy} | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | American Midstream Partners, LP [AMID] | | | | | (Check all applicable) | | | |
| (Last) 5956 SHERI | (First) RY LN STE 90 | (Middle) | 3. Date of (Month/Da 08/04/20 | ay/Year) | | nsaction | | | Director Officer (give below) | e title Oth below) | % Owner er (specify | |
| | | | | . If Amendment, Date Original iled(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person | | | |
| DALLAS, T | X 75225 | | | | | | | | _X_ Form filed by Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Table | e I - Nor | 1-De | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | ar) Execution any | | 3. Transa Code (Instr. | ictio 8) | 4. Securit nAcquired Disposed (Instr. 3, | ties (A) o of (D | r) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common | 08/04/2016 | | | S | | 1,400 | D | \$ 12 | 4,928,852 | Ι | See footnote. | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

(2)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Nam | Relationships | | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Energy Spectrum Secur 5956 SHERRY LN STI DALLAS, TX 75225 | - | | Х | | | | | |
| Energy Spectrum Capit 5956 SHERRY LANE, DALLAS, TX 75225 | | Х | | | | | | |
| Energy Spectrum VI LI 5956 SHERRY LANE, DALLAS, TX 75225 | | | Х | | | | | |
| Energy Spectrum Partn 5956 SHERRY LANE SUITE 900 DALLAS, TX 75225 | ers VI LP | | Х | | | | | |
| Signatures | | | | | | | | |
| James P. Benson | 08/08/2 | 016 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |
| James P. Benson | 08/08/2 | 016 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |
| James P. Benson | 08/08/2 | 016 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |
| James P. Benson | 08/08/2 | 016 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Energy Spectrum Securities Corporation ("ESSC") owns 100% of the issued and outstanding membership interest of Energy Spectrum VI, LLC, a Texas limited liability company ("ESLLC"), which serves as the general partner of Energy Spectrum Capital VI LP, a Delaware limited partnership ("ESCLP"), which serves as the general partner of Energy Spectrum Partners VI LP, a Delaware limited

- (1) partnership ("ESP" and together with ESSC, ESLLC, and ESCLP, the "Reporting Persons"). ESP is the record holder of the Common Units of the Issuer ("Units") reported in this line on this Form 4 and has a direct pecuniary interest in the Units. ESSC, ESLLC, and ESCLP beneficially own the Units for the purposes of Section 13(d) of the Exchange Act and have an indirect pecuniary interest in the Units.
- (2) On August 4, 2016, ESP sold 1,400 Units on the New York Stock Exchange in open market sales. The price for each sale was \$12.00 per Unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.