BALLANTYNE STRONG, INC.

Form 4

August 30, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(State)

**BOEGNER RAY** 

(Last)

(City)

2. Issuer Name and Ticker or Trading

Symbol

BALLANTYNE STRONG, INC.

3. Date of Earliest Transaction

[BTN]

(Month/Day/Year)

13710 FNB PARKWAY, SUITE 400 08/30/2016

(Middle)

(Zip)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

President-Cinema

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**OMAHA**, NE 68154

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4) Price

Common

per share

Stock, par value \$0.01

08/30/2016

M

5,000 A

Code V Amount (D)

80,019

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. 5. Number		6. Date Exercisable and		7. Title and Amount of	
Derivative Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if	Transaction Derivative Code Securities		*		Underlying Securities (Instr. 3 and 4)	
(Instr. 3)	Price of		any (Month/Day/Year)	(Instr. 8)	Acquired	(Wolldin Day) Teal	,	(Ilisti. 5 aliu	4)
,	Derivative		,	,	(A) or				
	Security				Disposed of				
					(D)				
					(Instr. 3, 4, and 5)				
					una 0)				
						Date Exercisable	Expiration Date	Title	Amount or Number of
				Code V	(A) (D)				Shares
Stock								Camman	
Options (Right to Buy)	\$ 4.7	08/30/2016		M	5,000	01/11/2014(1)	01/11/2022	Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BOEGNER RAY

13710 FNB PARKWAY, SUITE 400 OMAHA, NE 68154

President-Cinema

Signatures

/s/ Ray F. 08/30/2016 Boegner

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vested and became fully exercisable on January 11, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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