JOHNSON CONTROLS INC

Form 4 January 27, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * JOERRES JEFFREY A

2. Issuer Name and Ticker or Trading Symbol

Issuer

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction

(Month/Day/Year) 01/25/2012

5. Relationship of Reporting Person(s) to

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

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5757 N. GREEN BAY AVE., P.O. BOX 591

(First)

(Middle)

(Zip)

(City)

(Last)

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check

Applicable Line)

X_ Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

MILWAUKEE, WI 53201-0591

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following Reported Transaction(s)

(Instr. 4)

D

Indirect Beneficial Ownership (Instr. 4)

10% Owner Other (specify

(A) or (Instr. 3 and 4)

Code V Amount (D) Price

9,488

4. Securities

By Spouse

Common Stock

Common

Stock

 $2,116.234 \frac{(1)}{}$ I

as Trustee of TR

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number Doof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Phantom Stock Units/Director's Deferred Comp Plan	(2)	01/25/2012		A	4,072	(3)	(3)	Common Stock	4,07
Phantom Stock Units/Directors' Retirement Stock Plan	(2)					<u>(5)</u>	<u>(5)</u>	Common Stock	11,054

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
JOERRES JEFFREY A 5757 N. GREEN BAY AVE. P.O. BOX 591 MILWAUKEE, WI 53201-0591	X						

Signatures

Angela M. Blair, Attorney-In-Fact for Jeffrey A.

Joerres

01/27/2012

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 40.921 shares acquired through the reinvestment of dividends on April 4, July 5, and October 4, 2011 and January 3, 2012, at prices ranging from \$24.80 to \$42.25 per share.
- (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- The phantom stock units were accrued under the Johnson Controls Directors' Deferred Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement. The reporting person has the right to transfer the value of the phantom stock account into an alternative investment account within the plan.
- (4) Includes 1,172.650 phantom stock units acquired through the reinvestment of dividends on April 4, July 5, and October 4, 2011, and January 3, 2012 at prices ranging from \$27.56 to \$42.25 per phantom unit.

Reporting Owners 2

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- The phantom stock units were accrued under the Johnson Controls Directors' Retirement Plan and are to be settled 100% in cash upon the reporting person's retirement. The reporting person has the right to transfer the value of the phantom stock account into an alternative investment account within the plan.
- (6) Includes 207.664 phantom stock units acquired through the reinvestment of dividends on April 4, July 5, and October 4, 2011, and January 3, 2012 at prices ranging from \$27.56 to \$42.25 per phantom unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.