#### KVH INDUSTRIES INC \DE\

Form 4/A April 04, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

January 31, Expires: 2005

3235-0287

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

**CONWAY DANIEL R** 

2. Issuer Name and Ticker or Trading Symbol

KVH INDUSTRIES INC \DE\

[KVHI]

3. Date of Earliest Transaction

(Month/Day/Year) 03/30/2017

KVH INDUSTRIES, INC., 50 **ENTERPRISE CENTER** 

(Middle)

(Street)

Filed(Month/Day/Year)

04/03/2017

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

> below) **EVP Inertial Navigation**

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MIDDLETOWN, RI 02842

(State) (Zip) (City) 1. Title of 2. Transaction Date 2A. Deemed

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D)

(Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and 1. Title of Transaction Date 3A. Deemed 5. Number of Derivative (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Conversion

7. Title and Amour Underlying Securit

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### Edgar Filing: KVH INDUSTRIES INC \DE\ - Form 4/A

| Security<br>(Instr. 3)                      | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |   | (Month/Day/Year) |     | (Instr. 3 and 4)    |                    |                 |                           |
|---|---|------------|-------------------------|---|---|------------------|-----|---------------------|--------------------|-----------------|---------------------------|
|   |   |            |                         | Code  | V | (A)              | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amo<br>or<br>Num<br>of Sh |
| Employee<br>Stock<br>Option-Right<br>to Buy | \$ 7.85   | 03/30/2017 |                         | J <u>(1)</u>  |   | 36,782           |     | 04/18/2018          | 03/30/2022         | Common<br>Stock | 36,7                      |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CONWAY DANIEL R KVH INDUSTRIES, INC. 50 ENTERPRISE CENTER MIDDLETOWN, RI 02842

**EVP Inertial Navigation** 

## **Signatures**

Daniel R.

Conway 04/04/2017

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Non-qualified stock option grant issued pursuant to the terms & conditions of KVH Industries' 2016 Equity & Incentive Plan. Grant will vest in four installments on each of 4/18/2018, 3/10/2019, 4/19/2020, and 3/10/2021, provided the executive is employed by the Company at the time of vesting. Grant price was mistakenly reported as \$8.05; correct price is \$7.85.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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