

Gerber Stephan
Form 3
February 15, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Gerber Stephan

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/12/2019

3. Issuer Name **and** Ticker or Trading Symbol

WASHINGTON PRIME GROUP INC. [WPG]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other
(give title below) (specify below)

Senior VP, Property Mgmt.

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting
Person

___ Form filed by More than One
Reporting Person

C/O WASHINGTON PRIME
GROUP INC.,Â 180 EAST
BROAD STREET

(Street)

COLUMBUS,Â OHÂ 43215

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Shares of Beneficial Interest,
\$0.0001 par value

407

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(1)</u>	03/04/2020	Common Stock, \$0.0001 par value per share	7,839	\$ 5.76	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	05/04/2021	Common Stock, \$0.0001 par value per share	7,839	\$ 11.97	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	05/09/2022	Common Stock, \$0.0001 par value per share	7,839	\$ 12.67	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	05/09/2023	Common Stock, \$0.0001 par value per share	7,839	\$ 16.56	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	05/06/2024	Common Stock, \$0.0001 par value per share	7,839	\$ 13.1	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	05/21/2025	Common Stock, \$0.0001 par value per share	10,000	\$ 14.28	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	05/17/2026	Common Stock, \$0.0001 par value per share	7,500	\$ 9.95	D	Â
Restricted Stock Units	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock, \$0.0001 par value per share	1,250	\$ <u>(4)</u>	D	Â
Restricted Stock Units	Â <u>(5)</u>	Â <u>(5)</u>		2,313	\$ <u>(4)</u>	D	Â

Common
Stock,
\$0.0001
par value
per share

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gerber Stephan C/O WASHINGTON PRIME GROUP INC. 180 EAST BROAD STREET COLUMBUS, OH 43215	Â	Â	Â Senior VP, Property Mgmt.	Â

Signatures

/s/ Stephen E. Ifeduba, as
Attorney-in-Fact

02/14/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These stock options are exercisable and, subject to the terms of the stock option award agreement, will remain exercisable until the expiration date.
- (2) 5,000 of these options are exercisable and the remaining 2,500 will become exercisable on May 17, 2019 subject to the terms of the stock option award agreement. The options will remain exercisable, subject to the terms of the stock option award agreement, until the expiration date.
- (3) The listed restricted stock units ("RSUs") shall vest and become non-forfeitable in equal installments on May 18, 2019 and May 18, 2020 subject to the terms and conditions of the award agreement.
- (4) Each of the RSUs was issued to the Reporting Person as incentive compensation and represents a contingent right to receive one share of the Issuer's common stock.
- (5) The listed RSUs shall vest and become non-forfeitable in three equal installments on April 4, 2019, April 4, 2020 and April 4, 2021 subject to the terms and conditions of the award agreement.

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Remarks:

TheÂ filingÂ ofÂ thisÂ StatementÂ shallÂ notÂ beÂ construedÂ asÂ anÂ admission:Â (a)Â thatÂ theÂ personÂ filingÂ this

ExhibitÂ 24Â –Â LimitedÂ PowerÂ ofÂ Attorney,Â datedÂ FebruaryÂ 12,Â 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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