BGC Partners, Inc. Form 4 September 27, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MERKEL STEPHEN M Issuer Symbol BGC Partners, Inc. [BGCP] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify \_X\_\_ Officer (give title C/O BGC PARTNERS, INC., 499 09/23/2016 below) PARK AVENUE EVP, General Counsel and Sec'y (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10022 Person

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative S	ecuriti	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquire n(A) or Disposed of (D) (Instr. 3, 4 and 5)		of	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock, par value \$0.01 per share	04/26/2016		Code V G(1) V	5,500 (1)	(D)	Price	(Instr. 3 and 4) 56,002	D	
Class A Common Stock, par value \$0.01 per share	06/28/2016		G <u>(1)</u> V	6,000 (1)	D	\$0	50,002	D	
Class A Common	08/04/2016		G(1) V	7,750 (1)	D	\$0	42,252	D	

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Stock, par value \$0.01 per share									
Class A Common Stock, par value \$0.01 per share	09/13/2016	G <u>(1)</u>	V	2,816 (1)	D	\$0	39,436	D	
Class A Common Stock, par value \$0.01 per share	09/23/2016	J(2)	V	16,634 ( <u>2)</u>	A	\$ 0	56,070	D	
Class A Common Stock, par value \$0.01 per share	09/23/2016	J(2)	V	4,131 ( <u>2)</u>	A	\$0	4,131	I	By various trusts (3)
Class A Common Stock, par value \$0.01 per share							17,301	I	By 401(k) plan (4)
Class A Common Stock, par value \$0.01 per share							2,250	I	By reporting person's spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e	Securities	(Instr. 5)	Bene
	Derivative			Securities		(Instr. 3 and 4)		Owne	
	Security			Acquired				Follo	
				(A) or				Repo	
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				
					4, and 5)				

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Date Expiration Title Number of Code V (A) (D)

Amount or Number of Shares

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MERKEL STEPHEN M C/O BGC PARTNERS, INC. 499 PARK AVENUE NEW YORK, NY 10022

EVP, General Counsel and Sec'y

# **Signatures**

/s/ Stephen M. 09/27/2016 Merkel

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents gifts of shares of BGC Partners, Inc. Class A common stock, par value \$0.01 per share (the "Class A Common Stock") by the reporting person to various charitable organizations.
- (2) Represents shares of Class A Common Stock received by the reporting person in a pro rata distribution by Cantor Fitzgerald, L.P. ("CFLP") to partners of CFLP in a transaction exempt under Rule 16a-9(a) under the Securities Exchange Act of 1934, as amended.
- (3) Represents shares of Class A Common Stock held in trusts for the benefit of the reporting person's immediately family, of which the reporting person's spouse is the sole trustee of each trust and the reporting person has the power to remove and replace such trustee.
- (4) Represents shares of Class A Common Stock held in the reporting person's 401(k) account as of August 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3