Edgar Filing: On Deck Capital, Inc. - Form 4

On Deck Cap	pital, Inc.											
Form 4												
March 17, 20	_											
FORM	14 united of	татес	SECUD	TTIE		ND EV		NCEC	OMMISSION		PROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287				
Check this box if no longer of the TEN TEN TEN OF CHANGES IN DENERICIAL ON								Expires:	January 31, 2005			
subject to Section 1 Form 4 or	CHANGES IN BENEFICIAL OWN SECURITIES							Estimated a burden hour response	d average ours per			
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a	a) of the P		ility H	old	ing Con	npany	Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type F	Responses)											
Breslow Noah Symbol			Symbol			Ticker or Inc. [O]		-	5. Relationship of Reporting Person(s) to Issuer			
			On Deck Capital, Inc. [ONDK] 3. Date of Earliest Transaction						(Check all applicable)			
(Last) (Prist) (Widde) S. Date of (Month/E C/O ON DECK CAPITAL, 03/15/2 INC., 1400 BROADWAY, 25TH FLOOR			Day/Year)					X Director 10% Owner X Officer (give title Other (specify below) Delow) CEO & Chairman				
12001	(Street)		4. If Ame	ndment,	Dat	e Origina	1		6. Individual or Joi	int/Group Filin	g(Check	
				nth/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORI	K, NY 10018								Form filed by M Person	ore than One Re	porting	
(City)	(State) ((Zip)	Table	e I - No	n-De	erivative	Securi	ities Acq	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/15/2017 <u>(1)</u>			Code A		Amount 3,549	(D) A	Price \$ 3.944		D		
Common Stock									32,000	I	See footnote (2)	
Common Stock									32,000	I	See footnote (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	T . 1	or		
						Exercisable	Date	Title	Number		
					(\mathbf{A}) (\mathbf{D})				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Breslow Noah C/O ON DECK CAPITAL, INC. 1400 BROADWAY, 25TH FLOOR NEW YORK, NY 10018	Х		CEO & Chairman				
Signatures							
/s/ Robert A. Zuccaro, by power of attorney	03/17/2017						
** Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported shares were acquired under the On Deck Capital, Inc. 2014 Employee Stock Purchase Plan in a transaction that was exempt under both Rule 16b-3(c) and Rule 16b-3(d).
- (2) The reported shares are held directly in a trust for the Reporting Person's minor son for which the Reporting Person's spouse serves as trustee.
- (3) The reported shares are held directly in a trust for the Reporting Person's minor daughter for which the Reporting Person's spouse serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.