Edgar Filing: Great Ajax Corp. - Form 4

| Great Ajax C Form 4 | | | | | | | | | | | |
|--|---|---|--|--|---------------------|-----------------------------|--|--|---------------------|--|--|
| September 0 | | | | | | | | OMB AF | PROVAL | | |
| FORM | 4 UNITED S | TATES SE | CURITIES A Washington, | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | | |
| Check thi | or | | 0 / | | | | | Expires: | January 31, 2005 | | |
| if no long subject to Section 1 Form 4 or | 6. r | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | ns Section 17(a |) of the Publ | ion 16(a) of the ic Utility Hold he Investment | ling Con | ipan | y Act of | 1935 or Section | n | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Schaub Russell | | | Issuer Name and abol | | Tradi | ng | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | Great Ajax Corp. [AJX] | | | | | (Check all applicable) | | | |
| (Last) | (First) (M | | Date of Earliest Tra 2001/Day/Year) | ansaction | | | Director 10% Owner | | | | |
| 9400 SW | | | 08/31/2015 | | | | Officer (give title Other (specify below) | | | | |
| BEAVERTO HWY, SUIT | ON-HILLSDALE TE 131 | | | | | | · · · · · · · · · · · · · · · · · · · | RESIDENT | | | |
| | | | | | 6. Individual or Jo | or Joint/Group Filing(Check | | | | | |
| Filed(Mor BEAVERTON, OR 97005 | | | d(Month/Day/Year) | _X_ Form filed by Form filed by | | | | One Reporting Person More than One Reporting | | | |
| (City) | | Zip) | Table I Non D | onivotivo | Soon | itios A og | Person | or Popoficial | ly Owned | | |
| | | - | | | | _ | uired, Disposed of 5. Amount of | | - | | |
| 1.Title of Security (Instr. 3) | ity (Month/Day/Year) Execution Date, if | | | Code (Instr. 3, 4 and 5) r) (Instr. 8) (A) | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common Stock | 08/31/2015 | | Р | 1,000 | А | \$ 12.85 | 1,070 | Ι | By son (1) | | |
| Common Stock | 08/31/2015 | | Р | 1,000 | А | \$ 12.85 | 1,070 | I | By son (1) | | |
| Common Stock | 08/31/2015 | | Р | 1,000 | A | \$ 12.85 | 1,070 | I | By daughter | | |
| Common Stock | | | | | | | 70 | Ι | By wife | | |
| | | | | | | | 17,767 | D | | | |

Common Stock, par value \$0.01 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 1 | | Amou Unde Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-----------------------|---|---|--|
| | | | | Code V | ⁷ (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|------------|-----------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Schaub Russell 9400 SW BEAVERTON-HILLSDALE HWY SUITE 131 BEAVERTON, OR 97005 | | | PRESIDENT | | | | |
| Signatures | | | | | | | |
| /s/ Joanne Sur for Russell Schaub, Attorney-in-Fact | | 09/01/2015 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |
| Explanation of Responses: | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Mr. Schaub is the custodian of the shares under the Uniform Gifts to Minors Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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