

Barton Lisa M  
Form 4  
May 02, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Barton Lisa M

2. Issuer Name **and** Ticker or Trading  
Symbol  
AMERICAN ELECTRIC POWER  
CO INC [AEP]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
AMERICAN ELECTRIC POWER, 1  
RIVERSIDE PLAZA

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/01/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Executive Vice President

(Street)  
COLUMBUS, OH 43215

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 05/01/2019                              |                                                             | M                                       | (A)<br>or<br>(D)<br>Amount<br>1,490<br>(1)                              | \$<br>83.67<br>(4)<br>38,459                                                                                       | D                                                                    |                                                                   |
| Common<br>Stock                       | 05/01/2019                              |                                                             | F                                       | 676<br>(4)                                                              | \$<br>83.67<br>(4)<br>37,783                                                                                       | D                                                                    |                                                                   |
| Common<br>Stock                       | 05/01/2019                              |                                                             | D                                       | 814<br>(4)                                                              | \$<br>83.67<br>(4)<br>36,969                                                                                       | D                                                                    |                                                                   |
| Common                                | 05/01/2019                              |                                                             | F                                       | 758 (2)                                                                 | \$ 36,211                                                                                                          | D                                                                    |                                                                   |

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|              |            |  |   |         |       |       |          |
|--------------|------------|--|---|---------|-------|-------|----------|
| Stock        |            |  |   |         | 84.95 |       |          |
|              |            |  |   |         | (5)   |       |          |
|              |            |  |   |         | \$    |       |          |
| Common Stock | 05/01/2019 |  | F | 699 (3) | D     | 84.95 | 35,512 D |
|              |            |  |   |         | (5)   |       |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                        |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|----------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                   | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | (1)                                                                | 05/01/2019                              |                                                             | M                                    |                                                                                                     | 1,490                                                          |     | 05/01/2019                                                          | 05/01/2019         | Common<br>Stock | 1,490                                  |

## Reporting Owners

| Reporting Owner Name / Address                                                      | Relationships                    |
|-------------------------------------------------------------------------------------|----------------------------------|
|                                                                                     | Director 10% Owner Officer Other |
| Barton Lisa M<br>AMERICAN ELECTRIC POWER<br>1 RIVERSIDE PLAZA<br>COLUMBUS, OH 43215 | Executive Vice President         |

## Signatures

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Lisa M.  
Barton 05/02/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) A portion of Ms. Barton's restricted stock units (1,490) that were granted on 2/23/2016 vested on 5/1/2019. Upon vesting, 676 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
- (2) A portion of Ms. Barton's restricted stock units (1,669) that were granted on 2/20/2017 vested on 5/1/2019. Upon vesting, 758 restricted stock units were withheld to satisfy the reporting person's tax liability.
- (3) A portion of Ms. Barton's restricted stock units (1,539) that were granted on 2/19/2018 vested on 5/1/2019. Upon vesting, 699 restricted stock units were withheld to satisfy the reporting person's tax liability.
- (4) Value is based on 20 day average stock closing price.
- (5) Value is based on the closing price of the stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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