Kreh Susan M Form 4 May 05, 2010

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Stock

Stock

05/03/2010

(Print or Type Responses)

1. Name and Kreh Susai	Symbol		nd Ticker or Trading NTROLS INC [JCI]	5. Relationship of Reporting Person(s) to Issuer					
(Last)				(Check all applicable)					
(Last)	(First)	. , ,		Transaction			_		
		`	Day/Year)		Director		Owner		
	REEN BAY	05/03/2	2010		X Officer (give title Other (specify below)				
AVENUE,	P.O. BOX 591				· · · · · · · · · · · · · · · · · · ·	te Vice Presid	ent		
	(Street)	4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
		Filed(Me				Applicable Line) _X_ Form filed by One Reporting Person			
MILWAU	KEE, WI 53201				Form filed by M Person	ore than One Re	eporting		
(City)	(State)	(Zip) Tal	ole I - Non-	Derivative Securities Acqu	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Date	e 2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transacti	omr Disposed of (D)	Securities	Ownership	Indirect		
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial		
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership		
					Following	or Indirect	(Instr. 4)		
				(A)	Reported	(I)			
				or	Transaction(s)	(Instr. 4)			

Code V Amount

14.66

A

(D)

Α

Price

34.1063

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

12,253.219

401.617 (2)

(1)

D

Ι

By 401(k)

Common

Plan -

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units - Restricted Stock Plan	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	9,484.38
Phantom Stock Units - Restricted Stock Plan	(3)					<u>(5)</u>	<u>(5)</u>	Common Stock	56.044
Employee Stock Option (Right to Buy)	\$ 32.01					03/21/2009(6)	03/21/2017	Common Stock	45,000
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009 <u>(6)</u>	10/01/2017	Common Stock	36,000
Employee Stock Option (Right to Buy)	\$ 28.79					10/01/2010 <u>(6)</u>	10/01/2018	Common Stock	47,000
Employee Stock Option (Right to Buy)	\$ 24.87					10/01/2011 <u>(6)</u>	10/01/2019	Common Stock	47,000

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kreh Susan M 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201

Corporate Vice President

Signatures

Angela M. Blair, Attorney-in-Fact for Susan M. Kreh

05/05/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,500 shares of restricted stock granted on November 2, 2009, which vest as follows: 3,250 on November 2, 2011, and 3,250 on November 2, 2013, subject to continuous employment with the issuer.
- The number of underlying securities is based on the stock fund balance on April 30, 2010. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a April 30, 2010, stock fund price of \$33.59 per share.
- (3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
 - Includes an award of 9,000 restricted stock units granted on November 1, 2007, of which 4,500 units vested on November 2, 2009. The remaining 4,500 units will vest on November 1, 2011, subject to continued employment. The phantom stock units and dividends relating
- (4) to the units were awarded under the Johnson Controls Executive Deferred Restricted Stock Plan, and are to be settled 100% in cash, pending vesting, upon the reporting person's termination of employment with the issuer. Vested units may be transferred by the reporting person into an alternative investment account.
 - The restricted stock units represent the reinvestment of dividends which are accruing under the Johnson Controls Deferred Restricted
- (5) Stock Plan and are to be settled 100% in cash upon the vesting of the restricted stock grant to which they relate. Vested units may be transferred by the reporting person into an alternative investment account.
- (6) Fifty percent of the option vests after two years and the remaining fifty percent after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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