#### LINDSLEY H HAYS

Form 4 April 03, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number:

3235-0287

**OMB APPROVAL** 

January 31, Expires: 2005

0.5

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b). (Print or Type Responses)

1. Name and A LINDSLEY	Address of Reporting Po	Symbol				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
		IKUP	ANION IN	C. [TKU	rj					
(Last)	(First) (Mi	iddle) 3. Date	3. Date of Earliest Transaction							
		(Month/	Day/Year)				_X_ Director	10%	6 Owner	
	ANION, INC., 610 SOUTH, SUITE 20		2018			Officer (gives	ve title Other (specify below)			
	4. If Am	endment, Da	te Original			6. Individual or Joint/Group Filing(Check				
		Filed(M	onth/Day/Year	)			Applicable Line) _X_Form filed by One Reporting Person			
SEATTLE,	WA 98108						Person	More than One Ro	eporting	
(City)	(State) (Z	Zip) Tal	ble I - Non-D	erivative S	ecuri	ties Ac	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of	
Security (Month/Day/Year) Executive		Execution Date, i	f Transacti	onAcquired	(A) c	r	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	Disposed	of (D	))	Beneficially	(D) or	Beneficial	
		(Month/Day/Year	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
					(A)		Reported			
					or		Transaction(s)			
			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common	02/21/2010		3.4	(()		\$0	((0	Ъ		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

03/31/2018

Stock

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

662

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

662

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(1)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU)	\$ 28.01	03/31/2018		M			662	(2)	12/31/2018	Restricted Stock Unit (RSU)	662

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

LINDSLEY H HAYS
C/O TRUPANION, INC.
6100 4TH AVENUE SOUTH, SUITE 200
SEATTLE, WA 98108

### **Signatures**

/s/ Charlotte Sim-Warner as attorney-in-fact for H. Hays
Lindsley

04/03/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The RSU converts into common stock on a one-for-one basis.
- The RSU vests and will convert into common stock of the Issuer as to 25% of the total shares on each of March 31, 2018, June 30, 2018, September 30, 2018, and December 31, 2018, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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