APACHE CORP Form 4 January 05, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

obligations

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Sullivan Timothy J Issuer Symbol APACHE CORP [APA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify 2000 POST OAK BLVD., SUITE 01/04/2017 below) 100 Exec. VP - Operations Support (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77056 Person

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	ansaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5) nstr. 8)		Securities Ownership India Beneficially Form: Direct Beneficially (D) or Own		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	01/04/2017		$M_{\underline{(1)}}$	844	A	\$0	14,082.17	D	
Common Stock	01/04/2017		F(2)	355	D	\$ 63.47	13,727.17	D	
Common Stock	01/04/2017		M(3)	2,867	A	\$ 0	16,594.17	D	
Common Stock	01/04/2017		F(4)	1,203	D	\$ 63.47	15,391.17	D	
Common Stock							23.542	I	Held by Trustee 401(k)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

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burden hours per

### Edgar Filing: APACHE CORP - Form 4

			Plan
Common Stock	12,204.78	I	Held by Trustee NQ Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock / Units (5)	\$ 0 (6)	01/04/2017		A	4,923		<u>(7)</u>	<u>(7)</u>	Common Stock	4,923
Restricted Stock / Units (5)	\$ 0 (6)	01/04/2017		M		844	<u>(1)</u>	<u>(1)</u>	Common Stock	844
Restricted Stock / Units (5)	\$ 0 <u>(6)</u>	01/04/2017		M		2,867	(3)	(3)	Common Stock	2,867

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Sullivan Timothy J 2000 POST OAK BLVD. SUITE 100 HOUSTON, TX 77056

Exec. VP - Operations Support

2 Reporting Owners

## **Signatures**

Raj Sharma, 01/05/2017 Attorney-in-Fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (2) Shares withheld to cover required tax withholding on RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (3) RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (4) Shares withheld to cover required tax withholding on RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (5) With tandem tax withholding right
- (6) One share of Apache common stock for each restricted stock unit.
- (7) Restricted stock units granted 12/30/2016 under employer plan data provided by plan administrator on 01/04/2017. The units vest 100% on 01/01/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3