Activision Blizzard, Inc. Form 4

May 05, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* KOTICK ROBERT A

> (First) (Middle)

C/O ACTIVISION BLIZZARD, INC., 3100 OCEAN PARK **BOULEVARD** 

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

Activision Blizzard, Inc. [ATVI]

3. Date of Earliest Transaction (Month/Day/Year) 05/01/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(Check all applicable)

\_X\_\_ Director \_X\_\_ 10% Owner X\_ Officer (give title \_\_ Other (specify below) President & CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

I

9,600

Person

#### SANTA MONICA, CA 90405

(City)	(State) (A	Table Table	e I - Non-D	erivative S	Securit	ties Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.000001 per share	05/01/2014		Code V  F(1)	Amount 17,924	(D)	Price \$ 19.97	4,829,134 (2)	D	
Common Stock, par value \$0.000001 per share							171,968,042	I	By ASAC II LP (3)

### Edgar Filing: Activision Blizzard, Inc. - Form 4

Common	by
Stock, par	UTMAs
value	for the
\$0.000001	benefit of
per share	minor
	children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>FG</b>	Director	10% Owner	Officer	Other			
KOTICK ROBERT A C/O ACTIVISION BLIZZARD, INC. 3100 OCEAN PARK BOULEVARD SANTA MONICA CA 90405	X	X	President & CEO				

## **Signatures**

/s/ Robert A. Kotick	05/05/2014		
**Signature of Reporting Person	Date		

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On May 1, 2014, 34,350 restricted share units held by Mr. Kotick vested and, as allowed under the terms of his restricted share unit agreement, the Company withheld 17,924 shares otherwise deliverable to him to satisfy the resulting tax withholding obligations.
- Following the transactions reported on this Form 4, Mr. Kotick directly held (a) 893,100 restricted share units, each representing the right to receive a share of the Company's common stock, (b) 2,407,472 performance shares, each representing the right to receive a share of the Company's common stock, and (c) 1,528,562 shares of the Company's common stock.
- ASAC II LLC is the general partner of ASAC II LP. Mr. Kotick and Brian G. Kelly are the managers of ASAC II LLC. Mr. Kotick (3) disclaims beneficial ownership of the Company's common stock held by ASAC II LP except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.