Edgar Filing: CANCER GENETICS, INC - Form 3

CANCER GENETICS, INC Form 3 December 10, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Title of (Instr. 4)

S	Statement	3. Issuer Name and Ticker or Trading Symbol CANCER GENETICS, INC [CGIX]				
(Middle)	12/08/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
D R	(Check all applicable) <u>X</u> Director <u>10%</u> Owner <u>Officer</u> Other (give title below) (specify below)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting		
07070					Person Form filed by More than One Reporting Person	
(Zip)	Table I - Non-Derivative Securities Beneficially Owned					
			3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
s who respo ation contain d to respond	and to the collection of ned in this form are not d unless the form displa	5.	EC 1473 (7-02)		
	(Middle) (Middle) (07070 (Zip) e line for each s who respond tion contair d to respond	Statement (Month/Day/Year) (Middle) 12/08/2014 D R 07070 (Zip) Table I - N 2. Amount of Beneficially (Instr. 4) e line for each class of securities benefici s who respond to the collection of tion contained in this form are not	Statement (Month/Day/Year) CANCER (Month/Day/Year) (Middle) 12/08/2014 12/08/2014 4. Relationshi Person(s) to E D (Check X_Director (give title below) 07070 (Zip) Table I - Non-Derivat 2. Amount of Securities Beneficially Owned (Instr. 4) e line for each class of securities beneficially s who respond to the collection of tion contained in this form are not d to respond unless the form displays a	Statement (Month/Day/Year) (Middle) 12/08/2014 CANCER GENETICS (Middle) 12/08/2014 4. Relationship of Reporting Person(s) to Issuer Check all applicable) $\frac{-X}{0}$ Director $\frac{10\%}{0}$ Officer $\frac{10\%}{0}$ Officer (give title below) (specify below) (Specify below) (specify below) (Zip) Table I - Non-Derivative Securities 2. Amount of Securities 3. Beneficially Owned Ownership (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) e line for each class of securities beneficially s who respond to the collection of tion contained in this form are not d to respond unless the form displays a	Statement (Month/Day/Year) CANCER GENETICS, INC (Middle) 12/08/2014 4. Relationship of Reporting Person(s) to Issuer D R (Check all applicable) XDirector (give title below) 10% Owner (specify below) 07070 (Zip) Table I - Non-Derivative Securities Be 2. Amount of Securities Beneficially Owned (Instr. 4) 3. 4. Nat Beneficially Owned (Instr. 4) or Indirect (I) Ownership (Instr. 5) Ownership (Instr. 5) e line for each class of securities beneficially d to respond unless the form displays a SEC 1473 (7-02)	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

f Derivative Security	Expiration Date		Securities Underlying		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

OMB APPROVAL

OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per response... 0.5

Shares or Indirect (I) (Instr. 5)

Reporting Owners

		Relationships					
Reporting Owner Name /	Address	Director	10% Owner	Officer	Other		
Harris Geoffrey E. 201 RT 17 NORTH, 2ND C/O CANCER GENETIC RUTHERFORD, NJ 0	S, INC.	ÂΧ	Â	Â	Â		
Signatures							
/s/ Geoffrey Eric Harris	12/09/201	14					
<u>**</u> Signature of	Date						

Explanation of Responses:

No securities are beneficially owned

Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.