## Edgar Filing: Bofl Holding, Inc. - Form 4

| Form 4  |   |             |  |  |   |  |  |  |                          |  |
|---|---|-------------|--|--|---|--|--|--|--------------------------|--|
| August 08, 20   |   |             |  |  |   |  |  |  | PPROVAL                  |  |
|   | UNITED                                  | STATES      |  | RITIES A<br>shington                   |   |  | E COMMISSIO  | N OMB<br>Number:   | 3235-0287                |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |             |  |  |   | Estimated<br>burden hou<br>response  | Estimated average<br>burden hours per<br>response 0.5  |  |                          |  |
| (Print or Type R  | Responses)                              |             |  |  |   |  |  |  |                          |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Garrabrants Gregory   |   |             | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>BofI Holding, Inc. [BOFI] |  |   |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |                          |  |
| (Last) (First) (Middle)<br>12777 HIGH BLUFF DRIVE,<br>SUITE 100   |   |             | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>08/06/2013                  |  |   | X Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>President & CEO   |  |  |                          |  |
| (Street)<br>SAN DIEGO, CA 92130   |   |             | 4. If Amendment, Date Original Filed(Month/Day/Year)                               |  |   | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul> |  |  |                          |  |
| (City)  | (State)                                 | (Zip)       | Tab  | ole I - Non-l                          | Derivative  | Securities A   | Person Acquired, Disposed  | of, or Beneficia   | llv Owned                |  |
|   | 2. Transaction Date<br>(Month/Day/Year) |             | ed<br>Date, if   | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit<br>nAcquired<br>Disposed<br>(Instr. 3, 4 | ies<br>(A) or<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect |  |
| Reminder: Repo  | ort on a separate line                  | for each cl | ass of sec   | urities bene                           | Perso<br>inform<br>requir                           | ns who res<br>nation con<br>red to resp<br>ays a curre   | or indirectly.<br>spond to the colle<br>tained in this forn<br>ond unless the fo<br>ontly valid OMB co             | n are not<br>rm  | SEC 1474<br>(9-02)       |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8.1 |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|-----|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Expiration Date         | Underlying Securities  | De  |
| Security    | or Exercise |                     | any                | Code      | Securities   | (Month/Day/Year)        | (Instr. 3 and 4)       | Sec |

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| (Instr. 3)                       | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8 | Acquired<br>or Dispos<br>(D)<br>(Instr. 3, -<br>and 5) | ed of |                     |                    |                 |                                     | ( |
|----------------------------------|------------------------------------|------------|------------------|-----------|--|-------|---------------------|--------------------|-----------------|-------------------------------------|---|
|                                  |                                    |            |                  | Code V    | 7 (A)  | (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |   |
| Restricted<br>Stock<br>Units (1) | <u>(2)</u>                         | 08/06/2013 |                  | А         | 72,000   |       | (3)                 | (3)                | Common<br>Stock | 72,000                              |   |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                 |       |  |  |
|---|---------------|-----------|-----------------|-------|--|--|
|   | Director      | 10% Owner | Officer         | Other |  |  |
| Garrabrants Gregory<br>12777 HIGH BLUFF DRIVE, SUITE 100<br>SAN DIEGO, CA 92130 | Х             |           | President & CEO |       |  |  |
| Signatures  |               |           |                 |       |  |  |
| By: Andrew J Micheletti For: Gregory<br>Garrabrants                             |               |           |                 |       |  |  |
| <u>**</u> Signature of Reporting Person   |               | Date      |                 |       |  |  |
| Evalenation of Deenena  |               |           |                 |       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to the reporting person on August 6, 2013, of restricted stock units ("RSU's") under the BofI Holding, Inc. 2004 stock incentive plan in conncetion with the reporting person's employment contract as President and Chief Executive Officer.
- (2) Each RSU represents a contingent right to receive one share of BofI Holding, Inc. Common Stock. The RSU's are accompanied by dividend equivalent rights.
- (3) The RSU's vest over four years, one-fourth on each fiscal year-end following the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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