Edwards Jeffrey S Form 4 March 02, 2010

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Washington, D.C. 20549

Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 Fill obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Edwards Jeffrey S			2. Issuer Name <b>and</b> Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
49200 HALYARD DRIVE, P.O. BOX 8010			03/01/2010	X Officer (give title Other (specify below)  Vice President		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting		
PLYMOUTH, MI 48170				Person		

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2010		A	3.13	A	\$ 31.9491	72,833.071 (1)	D	
Common Stock							10,737.141 (2)	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

## Edgar Filing: Edwards Jeffrey S - Form 4

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Phantom Stock Units - Restricted Stock Plan	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	237.6
Phantom Stock Units - Retirement Restoration Plan	<u>(3)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	1,266.3
Phantom Stock Units - Annual Incentive Plan	<u>(3)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	12,582.4
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005	11/19/2013	Common Stock	60,00
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006	11/17/2014	Common Stock	90,00
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007	11/16/2015	Common Stock	150,00
Employee Stock	\$ 23.965					10/02/2008	10/02/2016	Common Stock	60,00

#### Edgar Filing: Edwards Jeffrey S - Form 4

Option (Right to Buy)					
Employee Stock Option (Right to Buy)	\$ 40.21	10/01/2009 <u>(7)</u>	10/01/2017	Common Stock	36,00
Employee Stock Option (Right to Buy)	\$ 28.79	10/01/2010 <u>(7)</u>	10/01/2018	Common Stock	45,00
Employee Stock Option (Right to Buy)	\$ 24.87	10/01/2011 <u>(7)</u>	10/01/2019	Common Stock	45,00

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
roporous o mari muno, raduross	Director	10% Owner	Officer	Other			
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170			Vice President				

## **Signatures**

Angela M. Blair, Attorney-in-Fact for Jeffrey S. Edwards 03/02/2010

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes restricted stock awards as follows: 4,500 shares on November 1, 2011, 1,500 on November 2, 2011 and 1,500 shares on **(1)** November 2, 2013 subject to continuous employment with the issuer.
- The number of underlying securities is based on the stock fund balance on March 1, 2010, and includes a company match of 252.751 shares deposited on February 17, 2010. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a March 1, 2010, stock fund price of \$31.88 per share.
- (3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of **(4)** dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.

Reporting Owners 3

**(2)** 

(5)

#### Edgar Filing: Edwards Jeffrey S - Form 4

The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's termination of service with the issuer and may be transferred into an alternative investment account.

- The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the issuer and may be transferred into an alternative investment account.
- (7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

  Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.