Edgar Filing: CATHAY GENERAL BANCORP - Form 4

CATHAY GENERAL BANCORP

Form 4

Common

Common

Stock

Stock

December 17, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL			
Washington, D.C. 20549							OMB Number:	3235-0287		
Check this if no longe	ar							Expires:	January 31, 2005	
subject to Section 16 Form 4 or Form 5	SIAIEM.	SECURITIES							verage rs per 0.5	
First Sobligations obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Ro	esponses)									
TANG ANTHONY M Symbol CATH.			er Name and Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer				
			CATHAY GENERAL BANCORP [CATY]				(Check all applicable)			
			of Earliest Transaction Day/Year) 2013				_X_ Director 10% Owner X_ Officer (give title Other (specify below) EVP			
			nendment, Da onth/Day/Year	ndment, Date Original nth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
LOS ANGEI	LES, CA 90012						Form filed by M Person	Iore than One Re	porting	
(City)	(State) (Z	Zip) Ta	ble I - Non-D	erivative S	Securi	ties Ac	quired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	rity (Month/Day/Year) Execution Date, if		Code (Instr. 8)	TransactionAcquired (A) or Code Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/13/2013		M(1)	6,047	A	\$0	369,679	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

I

88,997

(2)

1,723,152.33

By ESOP

By spouse

Edgar Filing: CATHAY GENERAL BANCORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (3)	12/13/2013		M	6,047	<u>(4)</u>	<u>(4)</u>	Common Stock	6,047	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
TANG ANTHONY M 777 NORTH BROADWAY LOS ANGELES, CA 90012	X		EVP			

Signatures

Monica Chen, attorney-in-fact 12/17/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion into Common Stock upon vesting of the restricted stock units reported on Table II.
- (2) Includes 927.079 shares acquired through dividend reinvestment year-to-date.
- (3) Each restricted stock unit represented a contingent right to receive one share of Common Stock of the Issuer.
- (4) The reporting person previously reported the award of 6,047 shares of restricted stock units on Form 4 filed December 16, 2011. All of these restricted stock units vested on December 13, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2