HART JAMES E Form 4 January 30, 2006

# FORM 4

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad	*	ting Person *	2. Issuer Name and Ticker or Trading Symbol ROCKWELL AUTOMATION INC	5. Relationship of Reporting Person(s) to Issuer		
			[ROK]	(Check all applicable)		
(Last)	(Mont		3. Date of Earliest Transaction (Month/Day/Year) 01/27/2006	Director 10% Owner Other (specify below)		
AVENUE, S			01/2//2000	Vice President		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting		
MILWAUKEE, WI 53202				Person		
(City)	(State)	(Zip)	Table I Non Desirative Committee Ac	guined Disposed of an Boneficially Owned		

:>							
1p)	Tabla I	Non Dorivotivo	Securities /	Loquirod	Disposed of	or Ronoficially	Dogwood

(City)	(State) (	Table Table	e I - Non-D	erivative :	Securi	ities Acqu	iired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	(D) or Endirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	01/27/2006		M	4,000	A	\$ 15.5	15,412 <u>(1)</u>	D	
Common Stock	01/27/2006		S	4,000	D	\$ 66.59	11,412 (1)	D	
Common Stock							2,061.847 (2)	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

## Edgar Filing: HART JAMES E - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisal Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Employee stock option (right to buy)	\$ 20.349					10/04/2000	10/04/2009	Commom Stock	16,50
Employee stock option (right to buy)	\$ 15.5	01/27/2006		M	4,000	10/07/2003	10/07/2012	Common Stock	4,00
Employee stock option (right to buy)	\$ 27.75					10/06/2004(3)	10/06/2013	Common Stock	25,00
Employee stock option (right to buy)	\$ 43.9					11/08/2005(3)	11/08/2014	Common Stock	25,00
Employee stock option (right to buy)	\$ 56.36					11/07/2006 <sup>(3)</sup>	11/07/2015	Common Stock	10,90

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

#### Edgar Filing: HART JAMES E - Form 4

Director 10% Owner Officer Other

HART JAMES E 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202

Vice President

## **Signatures**

K. A. Balistreri, Attorney-in-Fact for James E. Hart

01/30/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2,234 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (2) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 1/25/2006.
- (3) The option becomes exercisable in three equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3