Toth Robert W Form 4 January 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Toth Robert W

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

MIDDLEFIELD BANC CORP [MBCN]

3. Date of Earliest Transaction

(Month/Day/Year) 01/02/2019

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

10% Owner _ Other (specify

OMB APPROVAL

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

15985 EAST HIGH STREET, P. O. **BOX 35**

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

_X__ Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

MIDDLEFIELD, OH 44062

| (City) | (State) (| Zip) Table | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--------------------------------------|--------------------------------------|---|--|--|-------|-------------------|--|--|---|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securit on(A) or Di (Instr. 3, 4) | spose | d of (D) 5) Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 01/02/2019 | | A | 226 (1) | A | \$ 42.43 | 2,536 | D | | |
| Common Stock | | | | | | | 550 | D (2) | | |
| Common Stock | | | | | | | 550 | I | Held in Spouse's IRA | |
| Common Stock | | | | | | | 4,060.829 (3) | I | Held by Spouse | |
| | | | | | | | | - (4) | | |

Common 20,932.382 Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | . | ate | 7. Title Amount Underly Securiti (Instr. 3 | t of ring es | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|--------------------------------------|--------------------------------------|---|---------------------|--------------------|--|--------------------|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title N | Number | | |

Reporting Owners

| Toth Robert W 15985 EAST HIGH STREET P. O. BOX 35 | Reporting Owner Name / Address | Relationships | | | | | | |
|---|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| 15985 EAST HIGH STREET P. O. BOX 35 | • 0 | Director | 10% Owner | Officer | Other | | | |
| THIS DELTIES, OIL 11002 | 15985 EAST HIGH STREET | X | | | | | | |

Signatures

Robert W. Toth by James R. Heslop, II Power of Attorney 01/03/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under Director Compensation program for members of the Board of Directors of The Middlefield Banking Company.
- (2) Held in Individual Retirement Account
- (3) Includes shares acquired under the MBCN Dividend Reinvestment Plan.

Reporting Owners 2

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(4) Shares held jointly with spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.