## Edgar Filing: FISHER DAVID NICHOLS III - Form 3

#### FISHER DAVID NICHOLS III

Form 3

January 02, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PIMCO STRATEGIC INCOME FUND, INC [RCS]  **FISHER DAVID NICHOLS** (Month/Day/Year) 01/01/2019 Ш (Last) (First) (Middle) 5. If Amendment, Date Original 4. Relationship of Reporting Person(s) to Issuer Filed(Month/Day/Year)

C/O PIMCO, 650 NEWPORT (Check all applicable) CENTER DRIVE

(Street)

Officer

6. Individual or Joint/Group 10% Owner Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person

> Form filed by More than One Reporting Person

**NEWPORT** BEACH, CAÂ 92660

(State)

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

\_X\_ Director

(Instr. 4)

3. Ownership Form:

(I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 3. Title and Amount of 4. 5. 6. Nature of Indirect 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) Derivative (Instr. 4) Price of Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of Date

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Shares

or Indirect
(I)
(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

FISHER DAVID NICHOLS III

C/O PIMCO
650 NEWPORT CENTER DRIVE

Relationships

Director 10% Owner Officer Other

A X Â Â

## **Signatures**

/s/ Kevin Van Gorder, Attorney-in-Fact for David Nichols Fisher III

01/02/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

No securities are beneficially owned

NEWPORT BEACH, CAÂ 92660

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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## **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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