Edgar Filing: Willis Mike - Form 4

Willis Mike												
Form 4												
January 03, 2	2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB APPROVAL				
							ONID	3235-0287				
Check the	is box		vv as	snington,	D.C. 20	549			Number:	January 31,		
if no long		EMENT O	F CHAN	GES IN BENEFICIAL OWNERSHIP SECURITIES				NERSHIP OF	Expires:	2005		
subject to Section 1)									Estimated average		
Form 4 o									burden hou response	•		
Form 5	Filed p	ursuant to	Section 1	6(a) of the	e Securit	ies E	xchang	e Act of 1934,	100001100111	0.0		
obligation may cont		7(a) of the	Public Ut	ility Hold	ing Com	ipany	Act of	f 1935 or Sectio	n			
See Instru		30(h)	of the In	vestment	Compan	y Act	t of 194	40				
1(b).												
(Drint or Tuno I	Desmanaes)											
(Print or Type F	(esponses)											
1. Name and A	ddress of Reportir	1g Person *	2 Issuer	Name and Ticker or Trading			5. Relationship of Reporting Person(s) to					
Willis Mike Symbol				Name and Ticker of Trading				Issuer				
			2	nc. [GEVO]								
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Che				(Chec	ck all applicable)				
(2400)	(1100)	(initiatite)	(Month/D					Director10% Owner				
345 INVER	NESS DRIVE		12/29/20	-				_X_Officer (give titleOther (specify				
SOUTH, BU	JILDING C, SI	UITE 310						below)	below) CFO			
	(Street)		1 If Ame	ndment Da	e Original			6 Individual or Id	oint/Group Filip	ng(Check		
			nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)					
								X Form filed by (
ENGLEWO	OD, CO 80112	2						Form filed by N Person	Aore than One Re	eporting		
(City)	(State)	(Zip)			• .• .	~ .						
(eng)	× ,			e I - Non-D				uired, Disposed of		-		
1.Title of	2. Transaction D			3. Transactio				5. Amount of Securities	6. Ownership Form: Direct			
Security (Instr. 3)	(Wonth/Day/Tea	any Execution	Execution Date, if any		(D)	ispose	u 01		(D) or	Beneficial		
•			Code(D)Day/Year)(Instr. 8)(Instr. 3, 4 and 5)				5)	Owned	Indirect (I)	Ownership		
								Following Reported	(Instr. 4)	(Instr. 4)		
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common	12/20/2017							2 290	D			
Stock	12/29/2017			Μ	80	А	<u>(1)</u>	2,380	D			
Common							\$					
Stock	12/29/2017			F	29 <u>(2)</u>	D	0.59	2,351	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumbe of Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	r Expiratio (Month/I ive ed ed 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisal	Expiration le Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	12/29/2017		М	8	0 (3)	(3)	Common Stock	80	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Willis Mike 345 INVERNESS DRIVE SOUTH BUILDING C, SUITE 310 ENGLEWOOD, CO 80112			CFO				
Signatures							
/s/ Geoff Williams, as Attorney-in-Fact	C	01/02/2017					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units convert into common stock on a one-for-one basis
- (2) The disposition represents the net settlement of shares upon the vesting of restricted stock units.
- (3) On September 16, 2015, the reporting person was granted restricted stock units and options that will vest monthly for three years beginning July 29, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.