## Edgar Filing: HORNBECK OFFSHORE SERVICES INC /LA - Form 4

HORNBECK OFFSHORE SERVICES INC /LA Form 4 February 22, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ANNESSA CARL G Issuer Symbol HORNBECK OFFSHORE (Check all applicable) SERVICES INC /LA [HOS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 103 NORTHPARK BOULEVARD. 02/17/2017 Executive Vice President & COO **SUITE 300** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting COVINGTON, LA 70433 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Indirect (Instr. 3) any Code (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Owned Ownership (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price COMMON 02/17/2017 4,490 D (1) D D 334,768 STOCK COMMON 4,443 \$ 02/17/2017 F D 330,325 D (2) STOCK 4.66 COMMON 1.966 \$ 02/17/2001 F D 328,359 D (2) 4.66 STOCK COMMON 02/17/2017 Μ 3,033 Α (3) 331,392 D STOCK

D

3,033

\$

6.85

328.359

D

D

COMMON

STOCK

02/17/2017

					(3)				
COMMON STOCK					5,	000	Ι	By IRA	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									
inforr requi displa				Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.			SEC 1474 (9-02)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti Code	5. Number onof Derivative	Expirat	Exercisable and tion Date	7. Title and Amount of Underlying Securities	

Security (Instr. 3)	or Exercise Price of Derivative Security	` · ·	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)	
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
PHANTOM STOCK	<u>(3)</u>	02/17/2017		М	3,033	(3)	(3)	COMMON STOCK	19,307

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
1	Director	10% Owner	Officer	Other			
ANNESSA CARL G 103 NORTHPARK BOULEVARD, SUITE 300 COVINGTON, LA 70433			Executive Vice President & COO				
Signatures							

02/22/2017

Date

/s/ Beth A. LaBrosse, as POA for Carl G. Annessa

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares represent the forfeiture of a portion of a performance - vest restricted stock unit award granted February 18, 2014.

(2) Disposition of these shares represents payment of tax liability by delivering or withholding securities incident to the vesting of a restricted stock unit award issued in accordance with Rule 16b-3.

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Represents the vesting and cash settlement of a time-vest phantom restricted stock unit award with a Grant Date of February 18, 2014. Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable only in

(3) Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable only in cash based on a 10 day trailing average of the market price of the Company's stock on the vesting date. No shares were actually, issued, converted, or sold in connection with this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.