Edgar Filing: CommScope Holding Company, Inc. - Form 4

CommScope Holding Company, Inc. Form 4 September 02, 2016

September U	02, 2010										
Check this box if no longer subject to Section 16. Check this box							OMB AF OMB Number:	PROVAL 3235-0287			
							Expires: Estimated a burden hour response	January 31 2005 ed average hours per			
(Print or Type)	Responses)										
1. Name and A Karlsson Pe	Address of Reporting eter U	der Hume und Hener of Huding				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(M							Director 10% Owner X Officer (give title Other (specify below) SVP of CommScope, Inc.				
			Amendment, Da Month/Day/Year	nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
HICKORY	, NC 28602					Ī	Form filed by Mo Person	ore than One Rej	porting		
(City)	(State)	(Zip) T	able I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	tion Date 2A. Deemed ay/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	09/01/2016		Code V M ⁽¹⁾	Amount 7,796	(D) A	Price \$ 5.74	(Instr. 3 and 4) 26,023 (2)	D			
Common Stock	09/01/2016		S <u>(1)</u>	7,796	D	\$ 29.7313 (3)	18,227 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 5.74	09/01/2016		M <u>(1)</u>		7,796	02/17/2016	01/26/2021	Common Stock	7,796	

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Karlsson Peter U C/O COMMSCOPE HOLDING COMPANY, INC., 1100 COMMSCOPE PLACE, SE HICKORY, NC 28602			SVP of CommScope, Inc.				

Signatures

Reporting Person

/s/Peter U. 09/02/2016 Karlsson **Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 5, 2016.

As previously reported, includes (a) 10,425 restricted stock units that were granted on 02/23/2016 and will vest in three equal installments
 (2) on 02/23/2017, 02/23/2018, and 02/23/2019; and (b) 5,202 restricted stock units that were granted on 02/24/2015 and will vest ratably on 02/24/2017 and 02/24/2018, each subject to the reporting person's continued employment with the issuer.

The price shown is the weighted average price of the shares sold in this transaction. The price range for this transaction is \$29.55 to (3) \$29.86. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a

security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

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