### ALIMERA SCIENCES INC

Form 4 April 22, 2016

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person <u>*</u> BAVP, L.P. |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |  |  |
|---|----------|----------|--|--|--|--|
|   |          |          | ALIMERA SCIENCES INC [ALIM]                        | (Check all applicable)                           |  |  |
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction                    |  |  |  |
|   |          |          | (Month/Day/Year)                                   | DirectorX 10% Owner                              |  |  |
| 950 TOWER LANE, SUITE 700                                   |          |          | 04/20/2016   | Officer (give title below) Other (specify below) |  |  |
|   | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check        |  |  |
|   |          |          | Filed(Month/Day/Year)                              | Applicable Line)                                 |  |  |
|   |          |          |  | Form filed by One Reporting Person               |  |  |
| FOSTER CITY CA 94404  |          |          |  | _X_ Form filed by More than One Reporting        |  |  |

Person

### FOSTER CITY, CA 94404

| (City)                               | (State)                                 | (Zip) <b>Tabl</b>   | e I - Non-I                            | Derivative So                                 | ecuriti | es Acqu           | ired, Disposed of  | , or Beneficial  | y Owned   |
|--------------------------------------|---|---|--|---|---------|-------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securitie<br>or(A) or Disp<br>(Instr. 3, 4 | osed o  | of (D)            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 04/20/2016                              |   | Code V<br>S                            | Amount 100 (1)                                | (D)     | Price \$ 2.02     | 4,477,291  | D  |   |
| Common<br>Stock                      | 04/21/2016                              |   | S                                      | 453,870<br>(1)                                | D       | \$<br>2.54<br>(2) | 4,023,421  | D  |   |
| Common<br>Stock                      | 04/21/2016                              |   | S                                      | 20,703<br>(1)                                 | D       | \$ 3.35 (3)       | 4,002,718  | D  |   |
| Common<br>Stock                      | 04/21/2016                              |   | S                                      | 3,300 (1)                                     | D       | \$<br>4.15<br>(4) | 3,999,418  | D  |   |

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Common Stock 04/21/2016 S  $700 \frac{(1)}{5.15}$  D  $\frac{\$}{5.15}$  3,998,718 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Title :<br>Amount<br>Underly<br>Securitic<br>(Instr. 3 | of<br>ing<br>es | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|--------------------------------------|---|---------------------------------------|---|---------------------|--------------------|---|-----------------|---|---|
|   |   |                                      |   | Code V                                | , ,   | Date<br>Exercisable | Expiration<br>Date | Title N   | lumber          |   |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| reporting owner runner runners  | Director      | 10% Owner | Officer | Other |  |  |
| BAVP, L.P.<br>950 TOWER LANE, SUITE 700<br>FOSTER CITY, CA 94404                      |               | X         |         |       |  |  |
| MITCHELL KATE<br>950 TOWER LANE, SUITE 700<br>FOSTER CITY, CA 94404                   |               | X         |         |       |  |  |
| O'Driscoll Rory<br>950 TOWER LANE, SUITE 700<br>FOSTER CITY, CA 94404                 |               | X         |         |       |  |  |
| Scale Venture Management I, LLC<br>950 TOWER LANE, SUITE 700<br>FOSTER CITY, CA 94404 |               | X         |         |       |  |  |

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# **Signatures**

| /s/ BAVP, LP, By: Scale Venture Management I, LLC, its General Partner, By: Kate Mitchell, Managing Member |                             |            |  |
|--|-----------------------------|------------|--|
| <u>**</u> Sig  | gnature of Reporting Person | Date       |  |
| /s/ Kate Mitchell  |                             | 04/22/2016 |  |
| <u>**</u> Sig  | gnature of Reporting Person | Date       |  |
| /s/ Rory O'Driscoll  |                             | 04/22/2016 |  |
| <u>**</u> Sig  | gnature of Reporting Person | Date       |  |
| /s/ Scale Management I, LLC, by: Kate Mitchell, Managing Member  |                             |            |  |
| **Sig  | enature of Reporting Person | Date       |  |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 trading plan.
- (2) The shares were sold at prices ranging from \$2.00 to \$2.99. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The shares were sold at prices ranging from \$3.00 to \$3.88. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) The shares were sold at prices ranging from \$4.12 to \$4.28. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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