American Water Works Company, Inc.

Form 4

January 25, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Sgro Michael A |   |  | 2. Issuer Name and Ticker or Trading Symbol American Water Works Company, Inc. [AWK] |   |        |             | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |          |
|--|---|--|--|---|--------|-------------|--|--|----------|
| (Last) 1025 LAUF   | (First) (N                              | (M   | Date of Earliest Tr<br>Month/Day/Year)<br>1/22/2016                                  |   |        |             | Director 10% Owner Self-control of the control o |  |          |
|  |   |  | If Amendment, Da   | endment, Date Original<br>nth/Day/Year) |        |             | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person  |  |          |
| VOORHEES, NJ 08043                                       |   |  |  |   |        |             | Form filed by More than One Reporting Person   |  |          |
| (City)   | (State)                                 | (Zip)  | Table I - Non-D  | erivative                               | Secur  | ities Acq   | uired, Disposed o  | f, or Beneficial   | ly Owned |
| 1.Title of<br>Security<br>(Instr. 3)                     | 2. Transaction Date<br>(Month/Day/Year) | e 2A. Deemed<br>Execution Da<br>any<br>(Month/Day/ | Date, if Transaction Code  | 4. Securi on(A) or Di (Instr. 3,        | ispose | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          |
| Common<br>Stock  | 01/22/2016                              |  | M  | 861                                     | A      | \$ 0        | 2,505  | D  |          |
| Common<br>Stock  | 01/22/2016                              |  | A  | 703                                     | A      | \$ 0        | 3,208  | D  |          |
| Common   | 01/22/2016                              |  | F  | 529                                     | D      | \$<br>62 44 | 2,679  | D  |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number Deform Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |            | Expiration Date (Month/Day/Year) ties red sed of 3, 4, |                 | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) |                                    |
|---|---|--------------------------------------|---|--|---|------------|--|-----------------|---|------------------------------------|
|   |   |                                      |   | Code V                                 | (A)   | (D)        | Date<br>Exercisable                                    | Expiration Date | Title   | Amou<br>or<br>Numb<br>of<br>Shares |
| Performance<br>Stock Units                          | <u>(1)</u>  | 01/22/2016                           |   | A                                      | 369<br>(2)  |            | <u>(1)</u>   | 12/31/2015(3)   | Common<br>Stock   | 369                                |
| Performance<br>Stock Units                          | (1)   | 01/22/2016                           |   | M                                      |   | 861<br>(4) | <u>(1)</u>   | 12/31/2015(3)   | Common<br>Stock   | 861                                |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |             |       |  |  |  |
|--------------------------------|---------------|-----------|-------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer     | Other |  |  |  |
| Sgro Michael A                 |               |           | EVP,General |       |  |  |  |
| 1025 LAUREL OAK ROAD           | Counsel,      |           |             |       |  |  |  |
| VOORHEES, NJ 08043             | Secretary     |           |             |       |  |  |  |
| Signatures                     |               |           |             |       |  |  |  |

/s/ Jeffrey M. Taylor, as attorney-in-fact for Michael A. 01/25/2016 Sgro

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Each performance stock unit represented a contingent right to receive one share of common stock of American Water Works Company,
- (1) Inc. (the "Company"), based on the Company's annual relative total stockholder return over a three-year performance period, beginning on January 1, 2013 and ending December 31, 2015.
- Represents the above-target earned portion of the TSR performance stock unit. In 2013, the Company granted 492 performance stock units, equal to the number of shares to be received if target performance was achieved.
- Represents the end of the performance period to which the performance stock units relate. **(3)**
- Represents the earned portion of the TSR performance stock units, including target units granted in 2013 and above-target units earned based on TSR performance. The number of TSR performance stock units earned was 175 percent of the target.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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