Horizon Pharma plc Form 3 August 07, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À HAMPTON GEORGE P

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/05/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Horizon Pharma plc [HZNP]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O HORIZON PHARMA PLC, CONNAUGHT HOUSE, 1ST FL, 1 BURLINGTON RD

(Street)

(Check all applicable)

Director \_X\_\_ Officer (give title below) (specify below)

See remarks

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DUBLIN, L2Â D04 C5Y6

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

4.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Exercisable

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date

Expiration Date

Amount or Number of

Price of Derivative Derivative Security: Security Direct (D)

1

### Edgar Filing: Horizon Pharma plc - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	03/31/2025	Ordinary Shares	17,000	\$ 26.01	D	Â
Stock Option (Right to Buy)	(1)	04/30/2025	Ordinary Shares	20,000	\$ 29.22	D	Â
Restricted Stock Units	(2)	(2)	Ordinary Shares	8,000	\$ (2)	D	Â
Restricted Stock Units	(2)	(2)	Ordinary Shares	20,000	\$ <u>(2)</u>	D	Â
Performance Share Units (PSU)	(3)	(3)	Ordinary Shares	132,000	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		10% Owner	Officer	Other			
HAMPTON GEORGE P							
C/O HORIZON PHARMA PLC	â	â	See remarks	â			
CONNAUGHT HOUSE, 1ST FL, 1 BURLINGTON RD	Α	A	A See remarks	A			
DUBLIN, L2 D04 C5Y6							

## **Signatures**

/s/ Meghanne Downes, Attorney-in-Fact

08/07/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the shares subject to the option vest and become exercisable on the first anniversary of the vesting commencement date of April 1, 2015, and the remaining shares vest in 36 equal monthly installments thereafter.
- (2) Each restricted stock unit represents a contingent right to receive one ordinary share of the Issuer. The restricted stock units vest 25% annually on each anniversary of the vesting commencement date of April 1, 2015.
  - Each PSU represents a contingent right to receive ordinary shares of the Issuer, based on the Issuer's level of total shareholder return, as measured on December 22, 2017, March 22, 2018 and June 22, 2018 or based on the level of total shareholder return through any earlier
- (3) change in control. For such purposes, total shareholder return means the percentage change in the price of the Issuer's ordinary shares on a compounded annual basis, plus the value of reinvested dividends. The number of shares issued may range from zero (0) shares to the maximum number of shares reported in column 3 of this report.

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#### **Remarks:**

The Reporting Person is EVP, Global Orphan Business Unit and International Operations of the Issue

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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