Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

SYNCHRONOSS TECHNOLOGIES INC Form 4 January 14, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Doran Patrick Joseph Issuer Symbol **SYNCHRONOSS** (Check all applicable) TECHNOLOGIES INC [SNCR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__Officer (give title Other (specify (Month/Day/Year) below) below) 200 CROSSING 01/12/2015 EVP & Chief Technology Office BOULEVARD, EIGHTH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting BRIDGEWATER, NJ 08807 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 01/12/2015 M 1,113 A 20,419 D 27.55 Stock Common 01/12/2015 1.136 D M 21,555 A 30.55 Stock Common 01/12/2015 Μ 354 A 21,909 D 31.02 Stock Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

4.910

D

40.32

01/12/2015

Stock

D

18,890

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------|-------|----------------------------------------------------------------|--------------------|--------------------------------------------------------------------|----------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Purchase) | \$ 30.55 | 01/12/2015 | | М | | 1,136 | 12/06/2012 <u>(1)</u> | 12/06/2018 | Common Stock | 1,136 |
| Stock Option (Right to Purchase) | \$ 27.55 | 01/12/2015 | | М | | 1,113 | 12/07/2011 <u>(2)</u> | 12/07/2017 | Common Stock | 1,113 |
| Stock Option (Right to Purchase) | \$ 31.02 | 01/12/2015 | | М | | 354 | 02/14/2014 <u>(3)</u> | 02/14/2020 | Common Stock | 354 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|-----------------------------------------------------------------------------------------|---------------|-----------|-------------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Doran Patrick Joseph 200 CROSSING BOULEVARD EIGHTH FLOOR BRIDGEWATER, NJ 08807 | | | EVP & Chief Technology Office | | | |
| Signatures | | | | | | |
| /s/ Patrick J. | | | | | | |

/s/ Patrick J. Doran <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person
 (1) completes 12 months of continuous service after December 6, 2011. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person
 (2) completes 12 months of continuous service after December 7, 2010. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person(3) completed 12 months of continuous service after February 14, 2013. The option became exercisable with respect to an additional 1/48th

of the shares subject to the option when the Reporting Person completed each month of continuous service thereafter.

Remarks:

All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.